

**UNIVERSITY OF CALIFORNIA
SAN DIEGO DIVISION OF THE ACADEMIC SENATE
REPRESENTATIVE ASSEMBLY**
[see pages 3 and 4 for Representative Assembly membership list]

NOTICE OF MEETING
Tuesday, October 31, 2017, 3:30 p.m.
Garren Auditorium, Biomedical Sciences Building, 1st Floor

ORDER OF BUSINESS

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(2-7) Announcements	
(a) Chair Farrell Ackerman	Oral
(b) Chancellor Pradeep Khosla	Oral
(c) Robert Horwitz, Divisional Senate Vice Chair & Professor, Department of Communication Free Speech on Campus	Oral
(d) Kaustuv Roy, Formal Divisional Senate Chair Senate Overview	Oral
(8) Special Orders	
(a) Consent Calendar	
Committee Annual Reports	
• Committee on Academic Personnel	26
• Committee on Research (including Research Grant Committees)	28
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(b) Committee on Committees Temporary Appointments & Special Election	Oral
(9) Reports of Special Committees [<i>none</i>]	
(10) Reports of Standing Committees	
(a) Committee on Senate Awards	Oral
• 2017-18 Faculty Research Lecturer Awards	
(11) Reports of Faculties [<i>none</i>]	
(12) Petitions of Students [<i>none</i>]	

(13) Unfinished Business *[none]*

(14) New Business

REPRESENTATIVE ASSEMBLY 2017-2018 MEMBERSHIP

Ex Officio Members:

Chair of Division	Farrell Ackerman
Chancellor	Pradeep Khosla
Vice Chair of Division	Robert Horwitz
2016-17 Chair of Division	Kaustuv Roy
Chair, Committee on Academic Personnel	Susan Narucki
Chair, Committee on Admissions	Joshua Kohn
Chair, Campus Community Environment	Shirley Meng
Chair, Committee on Committees	Kimberly Prather
Chair, Committee on Diversity and Equity	Rommie Amaro
Chair, Educational Policy Committee	Stephanie Mel
Chair, Committee on Faculty Welfare	C.K. Cheng
Chair, Graduate Council	Sorin Lerner
Chair, Committee on Planning and Budget	Steven Constable
Chair, Committee on Privilege and Tenure	Michael Gorman
Chair, Committee on Research	Emmanuel Theodorakis
Chair, Undergraduate Council	Samuel Rickless
Member, Academic Assembly	Anna Joy Springer
Member, Academic Assembly	Deborah Hertz
Executive Vice Chancellor, Academic Affairs	Elizabeth Simmons
Vice Chancellor, Health Sciences	David Brenner
Vice Chancellor, Marine Sciences	Margaret Leinen
Vice Chancellor, Research	Sandra Brown

Representatives:

Elected Members

Alternates

Divisional Representative (at-large)	Robert Kluender ('19)	_____
	Elizabeth Koumives ('19)	_____
	Joseph Pogliano ('19)	
Revelle College	Gourishankar Ghosh ('18)	Charles Perrin ('18)
	Rick Firtel ('19)	Darren Lipomi ('19)
John Muir College	Joshua Figueroa ('19)	Lelli Van Den Einde ('19)
	Adam Burgasser ('18)	James Nieh ('18)
Thurgood Marshall College	Julian Betts ('18)	Sheng Xu ('18)
	Dan Hallin ('18)	Christine Hunefeldt ('18)
Earl Warren College	Yuri Bazilevs ('18)	Ella Tour ('18)
	John Hildebrand ('18)	Doug Nitz ('18)
Roosevelt College	Gershon Shafir ('18)	Charles Tu ('18)
	Babak Rahimi ('18)	Richard Biernacki ('18)
Sixth College	Lei Ni ('18)	_____
	Robert Pomeroy ('18)	Haim Weizman ('18)
Emeritus Faculty	Mark Appelbaum ('19)	Henry Powell ('19)
Anesthesiology	_____	_____
Anthropology	Margaret Schoeninger ('18)	Guillermo Algaze ('18)
Bioengineering	Gert Cauwenberghs ('18)	Prashant Mali ('18)
Biological Sciences	Li-Fan Lu ('19)	Carolyn Kurle ('19)
	Eduardo Macagno ('19)	James Wilhelm ('18)
Cellular & Molecular Med.	_____	_____
Chemistry & Biochemistry	Najtev Toor ('18)	Mike Tauber ('18)
	Patricia Jennings ('19)	_____
Cognitive Science	Gedeon Deak ('19)	Angela Yu ('18)
Communication	Olga Vasquez ('18)	Elana Zilberg ('18)
Computer Science & Engineering	Rajesh Gupta	_____
	Hovav Shacham	_____
Dermatology	Bryan Sun ('19)	Anna DiNardo ('19)
Economics	David Lagakos ('18)	Prashant Bharadwaj ('18)
	Mark Machina ('19)	Jim Andreoni ('19)
Education Studies	Shana Cohen ('18)	Carolyn Hofstetter ('18)
Electrical & Computer Engineering	David Sworder ('18)	Zhaowei Liu ('18)
	Yuan Taur ('18)	Ken Kreutz-Delgado ('18)
Emergency Medicine	Christopher Kahn ('19)	Radhika Sundararajan ('19)
Ethnic Studies	Kalindi Vora ('18)	Jillian Hernandez ('18)
Family Medicine and Public Health	Elena Martinez ('18)	Cheryl Anderson ('18)
	_____	_____

Global Policy and Strategy	Roger Bohn	('18)	Bruce Lehman	('18)
History	Rebecca Plant	('18)	Mark Hanna	('18)
Linguistics	Robert Westman	('18)	Rachel Klein	('18)
Literature	Grant Goodall	('18)	Rachel Mayberry	('18)
Mathematics	Stephanie Jed	('18)	Daniel Vitkus	('18)
Mechanical & Aerospace Engineering	William O'Brien	('19)	Jody Blanco	('19)
Medicine	Jason Schweinsberg	('18)	Dimitris Politis	('18)
Music	Adrian Ioana	('19)	Cristian Popescu	('19)
NanoEngineering	Robert Bitmead	('19)	Sonia Martinez	('19)
Neurosciences	James Friend	('19)	Prab Bandaru	('19)
Ophthalmology	Doug Conrad	('19)	Bernd Schnabel	('19)
Orthopaedics	Alison Moore	('19)	Nai Wen Chi	('19)
Pathology	Stephanie Richards	('18)	Sarah Hankins	('18)
Pediatrics	Jian Luo	('18)	Donald Sirbuly	('18)
Pharmacology	Takaki Komiyama	('19)	Robert Rissman	('19)
Philosophy	Ron Ellis	('19)	Eric Halgren	('19)
Physics	Karl Wahlin	('19)	Derrick Welsbie	('19)
Political Science	Jan Hughes-Austin	('19)	Simon Schenck	('19)
Psychiatry	Jonathan Lin	('18)	David Pride	('18)
Psychology	Nigel Calcutt	('19)	Olumole Fadare	('19)
Radiation Medicine & Applied Sciences				
Radiology	David Gonzalez	('18)	Joseph Adams	('19)
Rady School of Management	Matthew Fulkerson	('18)	Clinton Tolley	('18)
Reproductive Medicine	Massimiliano Di Ventra	('18)	Richard Averitt	('18)
SIO	Jeremie Palacci	('19)	Avi Yagil	('19)
Sociology	David Mares	('18)		
SSPPS	Simeon Nichter	('18)		
Structural Engineering	Niloo Afari	('18)	Gregory Arons	('18)
Surgery	Jared Young	('18)	Barton Palmer	('18)
Theatre and Dance	Edward Vul	('19)	Timothy Rickard	('19)
Urology	Amilcare Gentili	('18)	Roland Lee	('18)
Visual Arts	David Dubowitz	('18)	Jiang Du	('18)
	Rossen Valkanov	('19)	Craig Mc Kenzie	('19)
	David Natale	('18)	Dwayne Stupack	('18)
	Andrew Allen	('18)	Laurence Armi	('18)
	Andreas Andersson	('18)	Amato Evan	('18)
	Jennifer Taylor	('18)	Stuart Sandin	('18)
	Ian Eisman	('19)	James Day	('19)
	Sarah Purkey	('19)	Ryan Hechinger	('19)
	Jane Willenbring	('19)	Lynn Russell	('19)
	Mary Blair-Loy	('19)	Jeffrey Haydu	('19)
	Akos Rona-Tas	('19)	Martha Lampland	('19)
	Bradley Moore	('18)	Dionicio Siegel	('18)
	John McCartney	('18)	Chia-Ming Uang	('18)
	Justin Brown	('18)	Rebecca White	('18)
	Andrew Baird	('18)	Mark Onaitis	('18)
	Robert Castro	('18)		
	Christina Jamieson	('19)	Ithaar Derweesh	('19)
	John Welchman	('18)	Grant Kester	('18)
	Ruben Ortiz	('18)	Kuiyi Shen	('18)

Advisors:

Research - General Campus	Amarnath Gupta	('18)	Harinath Gurudadri	('18)
Research - Health Sciences	Ellen Breen	('18)	Charles King	('18)
Research - Marine Sciences	Peter Bromirski	('18)	Peter Gerstoft	('18)
Undergraduate Student	Kate Pham	('18)		
Undergraduate Student	Lesly Figueroa	('18)		
Graduate Student	Mark Derdzinski	('18)		
Graduate Student	Vladimir Jovanovic	('18)		

Parliamentarian

Gerry Mackie

**UNIVERSITY OF CALIFORNIA
SAN DIEGO DIVISION OF THE ACADEMIC SENATE
REPRESENTATIVE ASSEMBLY
June 6, 2017
MINUTES**

Chair Roy called the meeting to order. A quorum was present (see attached attendance sheet), along with other Academic Senate members and guests. Chair Roy welcomed everyone to the last Representative Assembly meeting of the academic year. Chair Roy introduced Academic Senate Vice Chair Farrell Ackerman, and Senate Parliamentarian Gerry Mackie. Chair Roy also introduced the Academic Senate Office Staff present: Ray Rodriguez, Director of the Academic Senate Office; Tara Mallis, Assembly Recorder; Trevor Buchanan, Programmer Analyst and technical support; Bunnie Jacquay, Senate Analyst; and Alex Tea, Executive Assistant. Chair Roy reviewed the Academic Senate Bylaws governing membership, privileges of the floor, and voting.

MINUTES OF MEETING OF APRIL 4, 2017

There were no objections or comments and the minutes were approved as submitted.

ANNOUNCEMENTS BY THE CHAIR OF THE DIVISION

Divisional Senate Election Results

Chair Roy announced the results of the Divisional Senate elections. The results are as follows:

Vice Chair (Chair Designate) for a two-year term, effective September 1, 2017 through August 31, 2019. The first year will be served as Vice Chair and the second will be served as Chair of the Division:

- Robert Horwitz (Professor, Communication)

Divisional Representatives to the systemwide Assembly of the Academic Senate for two-year terms, effective September 1, 2017 through August 31, 2019:

- Robert Kluender (Professor, Linguistics)
- Elizabeth Komives (Professor, Chemistry & Biochemistry)
- Joseph Pogliano (Professor, Molecular Biology)

Divisional Representative to the systemwide Assembly of the Academic Senate for a one-year term, effective September 1, 2017 through August 31, 2018:

- Deborah Hertz (Professor, History)

Member of the Committee on Committee for a three-year term, effective September 1, 2017 through August 31, 2020:

- Lihini Aluwihare (Professor, SIO-GRD)
- Wendy Campana (Professor, Anesthesiology)
- Stefan Tanaka (Professor, Communication)

An email announcing the results of the Divisional Senate elections was sent to the members of the Academic Senate on May 15, 2017.

Systemwide Updates

- *Non-Resident Student Enrollment Cap*
Chair Roy shared that the Regents adopted a Non-Resident Student Enrollment cap at their last meeting. Non-resident enrollment has been capped at 18% on each campus with the exception of campuses whose non-resident enrollment already exceeds 18%, in which case, non-resident student enrollment is capped at existing levels. This policy will be reviewed in four years. The systemwide Academic Council discussed this issue extensively. This decision effectively codifies a tiered system among the campuses that is concerning. Chair Roy noted that there was enormous political pressure to enact this cap.
- *State Audit of UCOP*
The results of the State's audit of the UC Office of the President have been released to the public. The findings of the audit have generated a lot of negative press and more political fallout. Based on the outcome of the audit, there is a proposal in the State Assembly to allow the Legislature to directly control the budget of UCOP. If passed, this proposal would result in a radical change that many view as an end of UC's constitutional autonomy. UCOP opposes this proposal. Interested faculty may reach out to their state representatives in support of UC.
- *Governor's Budget Proposal*
The Governor's revised budget proposal withholds \$50 million from the UC budget until the University meets a number of goals. UCOP must implement and meet the demands of the State Auditor in addition to making progress in other areas, including achieving a ratio of one Transfer admit for every two Freshman admits, and completing pilot programs in activity based costing. Based on the outcome of previous activity based costing exercises, there are concerns that the implementation of such programs could ultimately have negative impacts on educational programs going forward.

Local Updates

- *Chancellor's Review*
Chancellor Khosla's Five-Year Stewardship review concluded in April. Feedback was solicited from Senate faculty, current and former Senate Chairs, and other faculty active in Senate affairs during the Chancellor's first term. The feedback was reviewed and a report was prepared by a confidential review committee. The report was sent to President Napolitano in early spring. President Napolitano reviewed the report with the head of the review committee, the systemwide Senate Chair, Chair Roy, and Chancellor Khosla. Chair Roy explained that the contents of the report are confidential, but overall, the review was positive.
- *End of the Year Acknowledgments*
Chair Roy took time to acknowledge faculty and staff for their efforts during this academic year. Chair Roy thanked the Interim Executive Vice Chancellor (IEVC), the Chancellor, and the Chancellor's cabinet for their service and commitment to shared governance. Chair Roy thanked the members of Senate Council for being engaged and supportive, and for helping him make a number of decisions during a sensitive political time. Chair Roy thanked Senate Vice Chair Farrell Ackerman for his partnership. Chair Roy also highlighted the contributions of Senate staff and thanked them for their dedicated service, noting the staff's role in preserving the Senate's institutional memory.

At the conclusion of his comments, Chair Roy invited IEVC Peter Cowhey to address the Assembly.

UPDATE FROM THE INTERIM EXECUTIVE VICE CHANCELLOR

IEVC Cowhey thanked Chair Roy for the introduction and thanked him for his service. IEVC Cowhey commented on the positive working relationship between the Senate and the Administration and how that relationship helps the campus adapt to rapidly changing situations and plan for the future. IEVC Cowhey shared an update on the budget, graduate student funding, and faculty recruitment.

Budget

IEVC Cowhey shared that the State conditioned the release of \$50 million to the University on the campuses reaching a rigid 2:1 Freshman to Transfer admit ratio. As of the Representative Assembly meeting date, UC San Diego was the only campus on track to meet the admissions target. IEVC Cowhey commented on the difficulty in projecting how many Freshman and Transfer students campuses will receive, and pointed out that there are a number of factors outside of the control of campuses that can impact applications. For example, in areas where the community college population is less dense, campuses may not receive enough applications, or have enough Transfer students ultimately decide to attend to meet the desired Freshman to Transfer admit ratio.

Graduate Student Funding

IEVC Cowhey noted the appointment of former Senate Chair Kit Pogliano as the new Dean of Graduate Studies. IEVC Cowhey noted the ongoing concerns regarding how graduate students are currently funded, and whether that funding is sufficient. IEVC Cowhey shared that there is an interest in looking into the existing funding to determine where the shortfalls are and whether money is being spent in the most effective way. In a recent survey of graduate students, roughly 33% reported themselves to be food insecure. IEVC Cowhey noted that there are a number of efforts in progress to provide more support, including constructing more graduate student housing that will be available at below market rates. Overall, there is an interest in exploring the existing situation to start to understand what issues can be addressed in the coming year.

Faculty Recruitment

IEVC Cowhey reported on the numbers for faculty hires and separations from the 2015-2016 academic year. The campus experienced a good amount of growth, but that was accompanied by a surge in student enrollment. Additionally, the campus has experienced an increase in faculty retirement. This year's faculty recruitment is still in progress. Eighty-eight hires have been authorized for this academic year. Next year marks the start of a three-year plan whose end goal is 150 net hires. IEVC Cowhey explained that while the campus is working on growing traditional ladder rank faculty, the campus will have to consider how teaching professors and Unit 18 Lecturers can help meet teaching demands in the future.

At the close of IEVC Cowhey's presentation, Chair Roy opened the floor to questions.

An attendee asked how many recruitments were joint hires between departments or schools. IEVC Cowhey noted that the exact numbers were not available yet. Another attendee asked what the relationship is between student enrollments and FTE allocations. IEVC Cowhey explained that General Campus, SIO, and Health Sciences have different methods for making FTE allocations. On General Campus, departments and schools take a number of factors into account including, but not limited to, teaching loads, the number of undergraduate and graduate students, and, when relevant, the availability of research funds. IEVC Cowhey explained that under the new budget formula, funds are not allocated directly to the individual departments; rather, funds are given to the Deans who then make allocations to departments.

At the close of questions, Chair Roy thanked IEVC Cowhey for his presentation and invited Vince Kellen, Chief Information Officer (CIO), to address the Assembly.

CAMPUS ITS ORGANIZATION PRESENTATION

CIO Kellen thanked Chair Roy for the introduction and presented an overview of the current campus ITS organization. UC San Diego has a number of committees, and upon his arrival, CIO Kellen started work to determine which ITS committees could work together. CIO Kellen briefly outlined existing committees and advisory groups and their roles. Work is being done to review the effectiveness of these groups to refine them as needed. CIO Kellen explained that ITS has a robust governance structure that can be broken into four main areas: Academic Technology Services, Business Technology Services, Workplace Technology Services, and Architecture and Infrastructure Services. CIO noted that a number of the committees have charters and meeting minutes that are available for review. At the close of CIO Kellen's presentation, Chair Roy opened the floor to questions.

An attendee asked how ITS committees are formed and how those committees interact with the Senate Committee on Academic Information Technology (CAIT) and the Academic Senate in general. CIO Kellen shared that he met with CAIT in January to discuss how the relationship would work between ITS and the Senate. Regarding committee formation, CIO Kellen explained that the committees were already in existence when he arrived and existing membership has been retained. Engagement on these committees is high.

At the close of questions, Chair Roy thanked CIO Kellen for his presentation .

[Note: The presentation for this agenda item is included with these minutes as Attachment A.]

Chair Roy invited Academic Senate Vice Chair Farrell Ackerman and Barbara Sawrey to present the report of the Seventh College Planning Task Force.

SPECIAL ORDERS [None]

CONSENT CALENDAR [None]

REPORTS OF SPECIAL COMMITTEES

Report of the Seventh College Planning Task Force

Academic Senate Vice Chair Farrell Ackerman directed members to the report summary that was provided as a handout. Vice Chair Ackerman briefly went over the report summary, highlighting the questions to which the Tasked Force was asked to respond: first, whether there were justifications for a seventh college; second, what would be the profile of this college; and third, to offer guidance on the physical plan of the college in the context of the overall campus building program.

Regarding the justifications for the college, the Task Force noted that the enrollment projections made it clear that a seventh college would be needed, likely followed quickly by an eighth and ninth college, to meet the needs of the growing student population. Regarding the profile of the college, the Task Force noted that previously, colleges were formed around themes. The Task Force proposed two possible themes: 1) Brain, Mind, and Consciousness, and 2) Information, Behavior, and Ethics. The Task Force also discussed the idea of creating a Transfer college.

Regarding the question about the physical plan of the college, it was determined that more input was needed and an IdeaWave campaign will be set up to solicit feedback from more faculty members. AVC Sawrey noted that feedback from the IdeaWave campaign may be incorporated into the Task Force's final report that will be submitted to Rep Assembly for approval before being submitted to UCOP. At the close of the presentation, Chair Roy opened the floor to questions.

An attendee asked how faculty be more involved in this process at the ground level. It was noted that additional themes are welcome as part of the IdeaWave campaign. After the proposal is finalized, the next step would be to develop the curriculum with faculty. An attendee commented that it could be helpful to consult with actual Transfer students before proposing a Transfer college. The presenters noted that even if the college were established as a Transfer college, there would still be Transfers in the other six colleges since one college would not be enough to hold all the Transfer students. An attendee asked whether other Senate Committees were weighing in on this discussion. It was explained that when the pre-proposal is ready to go to the Representative Assembly, it will first be circulated to all Senate standing committees for review. Senate Council will place the item of the Representative Assembly's agenda for a vote and then the proposal will go to the systemwide Senate where the systemwide committees will review the proposal. After all the information is taken into account, a second proposal will be circulated for a second vote. While the second proposal is being reviewed, the curriculum committee will need to be formed. The proposal will be submitted to Representative Assembly for a second time. The hope is that the seventh college will be ready for students in 2020. The attendees were reminded that the report of the Task Force is posted on the Senate website, and faculty would be receiving a notice about the IdeaWave campaign shortly.

At the close of questions Chair Roy thanked Vice Chair Ackerman and AVC Sawrey for their presentation. Chair Roy also thanked AVC Sawrey on behalf of the Senate for her years of service in light of her upcoming retirement.

[Note: The handout for this agenda item is included with these minutes as Attachment B.]

Chair Roy invited Professor Matthew Herbst, Chair of the Educational Policy Committee (EPC) to present reports from EPC.

REPORTS OF STANDING COMMITTEES

Educational Policy Committee

- Credit Hour Policy
Professor Herbst introduced himself and also thanked AVC Sawrey and Chair Roy for his mentorship and leadership as the former Chair of EPC. It was explained that this item was for information only and did not concern a regulation change. Professor Herbst explained that the campus was tasked with defining the value of one unit as part of its WASC accreditation process. EPC determined that one unit is defined as one hour of instruction or other faculty led activity and two hours of out-of-classroom activity. This definition has no impact on existing courses.
- Proposed Amendment to Divisional Senate Regulation 600J, Campuswide Graduation Requirements – Standards for Award of Honors at Graduation
Professor Herbst explained that if approved, this proposal would decrease the number of letter-graded units required to be eligible for college honors at graduation from 80 units to 72 units. Professor Herbst noted that this change would largely impact Transfer students. Faculty and students raised the issue that a large portion of Transfer students are ineligible for honors upon graduation since generally, a full-time Transfer student can fulfill graduation requirements with fewer than 80 letter graded units.

Professor Herbst made a motion on behalf of EPC to approve the amendment of Senate Regulation 600(J) to decrease the number of letter-graded units required to be eligible for honors at graduation from 80 units to 72 units. Since this motion was made on behalf of a Senate Committee, no second was required. Chair Roy opened the floor to questions. There being none, Chair Roy called for a

voice vote to approve the proposed amendment. The proposed amendment was approved unanimously.

- Proposed Amendment to Divisional Senate Regulation 500, Grading Policy, and Divisional Senate Regulation 501, Adding and Dropping Courses and Withdrawal
Professor Herbst briefly explained the proposed changes. If approved, this proposal would move the deadline to withdraw from classes with no penalty through week six. Currently students may withdraw from classes without receiving a W notation on their transcripts through the end of week nine. Under this proposal, students will be able to petition their Provost for approval to withdraw after week. Professor Herbst noted that UC San Diego's current policy is out of alignment with all the other UC campuses whose drop deadlines are earlier than week nine. At the close of his presentation, Professor Herbst made a motion on behalf of EPC to approve the amendment of Senate Regulations 500 and 501. Since this motion was made on behalf of a Senate committee, no second was required. Chair Roy opened the floor to questions. Chair Roy opened the floor to questions.

An attendee asked if this proposed change was discussed with the Associated Students. Professor Herbst shared that EPC spoke with EPC's Undergraduate Student Representative and other student representatives. The students were opposed to this change for a number of reasons. Professor Herbst commented that currently, students might not have enough feedback to know where they stand in their classes after six weeks, and this proposed change will require faculty to adjust their practices to ensure they provide sufficient feedback by week six to give students a more accurate picture of whether they are on track to pass the class. An attendee asked how the proposed changes compare with non-UC campuses. Professor Herbst noted that EPC focused on the UC system only when drafting this proposal.

An attendee asked about the proposal's pedagogical impacts, and noted that many professors rely on midterm exams as the first indicator of student performance within the class, and these exams might not be administered prior to week six. The attendee commented that this might require that Professor's alter their practices. Professor Herbst commented that this change will force faculty to assess whether certain practices are ideal, including whether students should have to wait until week seven to know where they stand within their classes. Professor Herbst acknowledged that earlier or more frequent student assessments may be necessary and if approved, the proposal would not take effect until Fall 2018 to allow faculty the time to adjust their practices. An attendee asked if there are more technological tools that could be made available to help students know where they stand, and noted that it is difficult to advise the large number of students who may be on the border in a 200 to 300 person class. An attendee commented in support of the proposal, and noted that a deadline at the end of week six is reasonable.

At the close of questions, Chair Roy called for a voice vote to approve the proposed amendments to Senate Regulation 500, Grading Policy, and 501, Adding and Dropping Courses and Withdrawal. The proposed amendments were approved by a majority.

REPORTS OF FACULTIES

Revelle College Faculty – Proposal to amend Divisional Senate Regulation 605, Academic Requirements of Revelle College

Chair Roy invited Professor Charles Perrin from Revelle College to present the proposed amendment to San Diego Senate Regulation 605, Academic Requirements of Revelle College. Professor Perrin explained that the proposed amendment would change the minimum unit requirement in section C(1) from 184 units to 180 units, bringing Revelle College minimum unit requirements in line with the other colleges at UC San Diego, and systemwide. The proposal was approved by the Revelle faculty in March

2017. Professor Perrin noted that the 180 unit minimum is more conducive to promoting four-year graduation rates.

Professor Perrin made a motion on behalf of the Revelle college faculty to approve the proposed amendment to San Diego Divisional Senate Regulation 605, Academic Requirements of Revelle College. Since the motion was made on behalf of a faculty body, no second was required. Chair Roy opened the floor to questions and comments. A member commented in support of the change. At the close of questions and comments Chair Roy called for a voice vote. The motion passed unanimously.

Marshall College Faculty – Proposal to amend Divisional Senate Regulation 615, Academic Requirements of Thurgood Marshall College

Chair Roy invited Provost Leslie Carver to present the proposed amendments to San Diego Divisional Senate Regulation 615, Academic Requirements of Thurgood Marshall College. Provost Carver explained that the proposed changes would allow students more flexibility and options in meeting Math, and Humanities and Culture requirements. The changes also refine and clarify the definitions of the disciplinary breadth requirements.

Provost Carver made a motion on behalf of the Thurgood Marshall College faculty to approve the proposed amendments to San Diego Divisional Senate Regulation 615, Academic Requirements of Thurgood Marshall College. Since the motion was made on behalf of a faculty body, no second was required. Chair Roy opened the floor to questions and comments. There being no questions or comments, Chair Roy called for a voice vote to approve the motion. The motion passed unanimously.

PETITIONS OF STUDENTS *[None]*

UNFINISHED BUSINESS *[None]*

NEW BUSINESS *[None]*

Chair Roy called for any new business, there being none, the meeting was adjourned at 4:59 p.m.

Tara A. Mallis, Senate Analyst

REPRESENTATIVE ASSEMBLY 2016-2017 MEMBERSHIP

10/11	11/29	1/31	4/4	6/6
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Ex Officio Members:

Kaustuv Roy (Chair of Division)	+	+	+	+	+
Pradeep Khosla (Chancellor)	+		+	+	
Farrell Ackerman (Vice Chair of Division)	+	+			+
Robert Continetti (2015-16 Chair of Division)	+	+	+		
Ulrike Strasser (Chair, Committee on Academic Personnel)					
Gilberto Mosqueda (Chair, Committee on Admissions)	+	+		+	+
David Stegman (Chair, Campus Community Environment)	+	+			
Kimberly Prather (Chair, Committee on Committees)	+	+	+		+
Olivia Graeve (Chair, Committee on Diversity and Equity)					
Matthew Herbst (Chair, Educational Policy Committee)	+	+		+	+
Gedeon Deak (Chair, Committee on Faculty Welfare)	+		+	+	+
Richard Arneson (Chair, Graduate Council)			+		
Andrew Kahng (Chair, Committee on Planning and Budget)		+	+		
Katja Lindenberg (Chair, Committee on Privilege and Tenure)	+			+	
Emmanuel Theodorakis (Chair, Committee on Research)	+				
Geoffrey Cook (Chair, Undergraduate Council)	+	+	+	+	+
Isaac Martin (Member, Academic Council)					
Kwai Ng (Member, Academic Council)					
Nadine George-Graves (Member, Academic Assembly)		+	+	+	+
Gail Heyman (Member, Academic Assembly)	+				
Gentry Patrick (Member, Academic Assembly)					
Peter Cowhey (Interim Executive Vice Chancellor, Academic Affairs)	+	+	+		+
David A. Brenner (Vice Chancellor, Health Sciences)					
Margaret Leinen (Vice Chancellor, Marine Sciences)		+	+		
Sandra Brown (Vice Chancellor, Research)	+				

Elected Members [Alternates in square brackets]

Lorraine Pillus / [] (Divisional Representative (at-large))	+			+	+
Anna Joy Springer / [] (Divisional Representative (at-large))					
Sarah Gille / [] (Revelle College)	+	+	+	+	+
Vlado Lubarda / [Charles Perrin] (Revelle College)		[+]	[+]	+	+, [+]
Kim Albizati / [Eric Bakovic] (John Muir College)					
Adam Burgasser / [James Nieh] (John Muir College)	+	+	+	+	+
Robert Cancel / [Sheng Xu] (Thurgood Marshall College - Wtr/Sp)	[+]				+
Stanley Lo (Thurgood Marshall College - Fall)	+	+	-	-	-
Dan Hallin / [Christine Hunefeldt] (Thurgood Marshall College)	+, [+]	+, [+]	+, [+]		+
Yuri Bazilevs / [Ella Tour] (Earl Warren College)					
John Hildebrand / [Doug Nitz] (Earl Warren College)	+		+	+	+
Gershon Shafir / [Charles Tu] (Roosevelt College)		+	+	+	
Babak Rahimi / [] (Roosevelt College)					
Lei Ni / [Eduardo Macagno] (Sixth College)	+, [+]			[+]	
Robert Pomeroy / [Haim Weizman] (Sixth College)	[+]	+	+		+
Henry Powell / [] (Emeritus Faculty)	+	+			+
/ [] (Anesthesiology)	-	-			
/ [] (Anthropology)	-	-			
Peter Wang / [Gert Cauwenberghs] (Bioengineering)	[+]	+	[+]		[+]
David Holway / [Jonathan Shurin] (Biological Sciences)	+	+	[+]		
Takaki Komiyama / [Dong-Er Zhang] (Biological Sciences)					
George Sen / [Gene Yeo] (Cellular & Molecular Med.)			+		+
Jerry Yang / [Nathan Gianneschi] (Chemistry & Biochemistry)	+	+	+	+	

Key: + representative present; [+] alternate present; - not member or advisor at the time of meeting

REPRESENTATIVE ASSEMBLY 2016-2017 MEMBERSHIP

	10/11	11/29	1/31	4/4	6/6
Navtej Toor / [Mike Tauber] (Chemistry & Biochemistry)	[+]	[+]		+	
/ [Angela Yu] (Cognitive Science)					
Brian Goldfarb / [Robert Horwitz] (Communication)	+	+	[+]	+, [+]	[+]
Leo Porter / [] (Computer Science & Engineering)	+	+	+		+
Chung K Cheng / [] (Computer Science & Engineering)	+	+	+	+	+
Bryan Sun / [Lawrence Eichenfield] (Dermatology)	-	+	+	+	+
James Andreoni / [Karthik Muralidharan] (Economics)	+		+	+	
David Lagakos / [Prashant Bharadwaj] (Economics)	[+]	+	+	+	+
Shana Cohen / [Carolyn Hofstetter] (Education Studies)		+	+		+
David Sworder / [Zhaowei Liu] (Electrical & Computer Engineering)	+	+		+	
Yuan Taur / [Ken Kreutz-Delgado] (Electrical & Computer Engineering)		+			
Richard Clark / [Chris Kahn] (Emergency Medicine)	+	+	+	+	+
Kalindi Vora / [Jillian Hernandez] (Ethnic Studies)	[+]	[+]	+	+	
Elena Martinez / [Cheryl Anderson] (Family Medicine and Public Health)	+	+, [+]	+	[+]	+
Rebecca Plant / [Mark Hanna] (History)	+	+		+	+
Robert Westman / [] (History)				+	+
Roger Bohn / [Bruce Lehman] (Global Policy and Strategy)	+	+	+	[+]	
Grant Goodall / [Rachel Mayberry] (Linguistics)	+	+	[+]	+	+
Seth Lerner / [Amelia Glaser] (Literature)					
Stephanie Jed / [Daniel Vitkus] (Literature)	[+]	[+]	+	+	+
James McKernan / [David Meyer] (Mathematics)	+, [+]	[+]	+	+	+
Jason Schweinsberg / [Dimitris Politis] (Mathematics)	+	+	+	+	+
Xanthippi Markenscoff / [James Friend] (Mechanical & Aerospace Engineering)	[+]				[+]
William McEneaney / [Kal Seshadri] (Mechanical & Aerospace Engineering)	+				
David Smith / [Doug Conrad] (Medicine)	[+]	+	+	[+]	
/ [] (Medicine)	-	-			
Stephanie Richards / [Sarah Hankins] (Music)	+	+	+		+
Jian Luo / [Donald Sirbulu] (NanoEngineering)	+	+	+		
Richard Haas / [Ronald Ellis] (Neurosciences)					[+]
/ [] (Neurosciences)	-	-			
Bobby Korn / [Linda Zangwill] (Ophthalmology)			[+]		
Simon Schenk / [Sameer Shah] (Orthopaedics)	[+]	+		+	+
David Herold / [David Bailey] (Pathology)	[+]	[+]	+	+, [+]	
Jonathan Lin / [David Pride] (Pathology)	+	[+]	+	+	+, [+]
/ [] (Pediatrics)	-	-			
/ [] (Pediatrics)	-	-			
Richard Daneman / [Hyam Leffert] (Pharmacology)	+	+	+	+	+
Matthew Fulkerson / [Clinton Tolley] (Philosophy)	+	+	+	+	+
Massimo Vergassola / [Oleg Shpyrko] (Physics)	[+]	+	[+]		+
Massimo Di Ventra / [Richard Averitt] (Physics)	+		+	+	
David Mares / [] (Political Science)					+
Simeon Nichter / [] (Political Science)	+	+		+	
Niloo Afari / [Gregory Aarons] (Psychiatry)	+	+	+	+	+
Jared Young / [Barton Palmer] (Psychiatry)	+	+	[+]	+	+
Adam Aron / [Stephan Anagnostaras] (Psychology)	+	+	+	+, [+]	[+]
/ [] (Radiation Medicine & Applied Sciences)	-	-			
Amilcare Gentili / [Roland Lee] (Radiology)	-		+	+	+
David Dubowitz / [Jiang Du] (Radiology)	-	+		+	
David Schkade / [Yuval Rottenstreich] (Rady School of Management)		+	+	+	+
David Natale / [Dwayne Stupack] (Reproductive Medicine)	[+]	[+]	+	+	+
Sarah Giddings / [Paola Cessi] (SIO)					+

Key: + representative present; [+] alternate present; - not member or advisor at the time of meeting

REPRESENTATIVE ASSEMBLY 2016-2017 MEMBERSHIP

10/11	11/29	1/31	4/4	6/6
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Guy Masters / [Anne Pommier] (SIO)	[+]	[+]	[+]	+	[+]
George Sugihara / [Laurence Armi] (SIO)		+		+, [+]	
Andrew Allen / [Amato Evan] (SIO)			+	+	+
Andreas Andersson / [Stuart Sandin] (SIO)	+	+	+	+	+
Jennifer Taylor / [] (SIO)	+		+		
/ [] (Sociology)	-	-			
Bradley Moore / [Dionicio Siegel] (SSPPS)					+
John McCartney / [Chia-Ming Uang] (Structural Engineering)	+	+	[+]		+
Justin Brown / [] (Surgery)					
Christina Jamieson / [] (Surgery)	+	+	+	+	+
Robert Castro / [Victoria Petrovich] (Theatre and Dance)	+	+	+	+	+
Charles Means / [Kim Rubinstein] (Theatre and Dance)	+	[+]	+	+	+
John Welchman / [Grant Kester] (Visual Arts)			+		+
Ruben Ortiz / [Kuiyi Shen] (Visual Arts)					

Advisors:

Amarnath Gupta / [Harinath Garudadri] (Research - General Campus)	+, [+]		+, [+]	[+]	
Ellen Breen / [Charles King] (Research - Health Sciences)	+, [+]	+	+, [+]	+	+, [+]
/ [] (Research - Marine Sciences)	-	-			
Rushil Patel (Undergraduate Student)	+	+	+		
Dylan Trinh (Undergraduate Student)	+		+		
Tatiana Zavodny (Graduate Student)	+	+	+		
Nathaniel Wood-Cohen (Graduate Student)	-	+	+	+	+

Gerry Mackie	+	+			+
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“Better Together” UCSD IT Governance & Organizational Structure



This is a living document subject to revision!

Vince Kellen, PhD - Chief Information Officer

Kevin Chou, Brian DeMeulle, Mojgan Amini, Sheryl Gerbracht, Mike Corn, Brett Pollak, Valerie Polichar - ITS Senior Leadership Team
June 5, 2016, v36

UC San Diego

Governance Principles

- **Transparency.** All parties come together to share their unit plans and related IT activities. This is a fundamental condition to foster coordination, collaboration and collegiality
- **Multilateral commitment.** Federated governance helps build multiple unit commitment to goals and to activities involving distributed IT resources
- **Strategic alignment.** Governance committees can help provide guidance and advice to units that helps ensure IT activities are aligned with strategic priorities
- **Diversity.** Governance can enable diverse voices, often not represented in other ways. Diversity of perspective can strengthen resource alignment and help teams develop better information systems
- **Interdependency.** Information systems have complex interdependencies with other systems and with human processes. Governance can help uncover and simplify these technical linkages and business process complexities before implementations begin
- **Urgency.** While governance can take some time, by encouraging diverse thinking, alignment with strategy and good collaboration, initiatives and activities requiring IT resources can move quicker and often with less effort
- **Cost-effectiveness.** Since business problems can be solved in a myriad of ways with the wide variety of IT tools available today, governance can spur creative and innovative solutions that take advantage of specific UCSD context and capabilities to ensure initiatives are cost-effective

UC San Diego

“Better Together”

IT Governance on One Page – Committees

- Meeting scheduling, agendas, minutes
- Sharing of meeting materials
- Communication with the UCSD community
- Maintain comprehensive list of all projects
- Analyze ITS and other resources as needed
- Communicate project status to committees

Governance Administration
Project Portfolio Advisory Group

IT Executive Governance Committee

- Review, monitor or advise on:
- Overall IT governance
 - Major IT system and service change
 - Sustainable funding issues for IT
 - Long-term risk
 - IT strategic planning
 - IT planning and performance transparency

- Purpose:**
- To ensure transparency in IT planning and operation
 - To enable good coordination across distributed units
 - To help provide strategic advantage for UCSD

Instructional Technology Committee	Enterprise Information Services Committee	Research IT Services Committee	Collaboration & Messaging Services Committee	Enabling Infrastructure for Data & Analytics Committee	Cybersecurity Governance Committee	Integration Services Committee	Infrastructure Services Committee
<p>Advise and assist on the prioritization, selection or use of:</p> <ul style="list-style-type: none"> • Classroom technology systems • Learning management systems • Instructional technology • Learning engagement tools • Student research experience • Electronic portfolios • Student learning analytics • Class and learning outcomes assessment 	<p>Advise and assist on the prioritization, selection or use of broad enterprise information systems including but not limited to:</p> <ul style="list-style-type: none"> • Common enterprise business systems • Financial • Human resources • Budgeting • Development • Student administration • Research administration • Academic personnel • Program and unit assessment • Housing & dining • Resource management 	<p>Advise and assist on the prioritization, selection and use of:</p> <ul style="list-style-type: none"> • IT support and outreach for researchers • Support for common IT research systems • Emerging research needs <p>Advise and assist on:</p> <ul style="list-style-type: none"> • Research IT services strategy • Sustainable funding strategies to support research IT services 	<p>Advise and assist on the prioritization, selection or use of:</p> <ul style="list-style-type: none"> • E-mail & calendar systems • Other messaging tools • Enterprise portals • Web content management • Collaboration tools • Workstation & office applications • Mobile applications • End-user device standards 	<p>Formulate and advise on data management policies:</p> <ul style="list-style-type: none"> • Data use, ethics, privacy & access • System and data access • External reporting <p>Advise and assist on the prioritization, selection and appropriate use of:</p> <ul style="list-style-type: none"> • Enterprise analytic tools 	<p>Advise and assist on the architecture, prioritization, selection or use of:</p> <ul style="list-style-type: none"> • Network security standards • System security tools • Identity and access management security • Distributed data and systems security • On-premise and off-premise systems security architecture <p>Formulate and advise on security policies:</p> <ul style="list-style-type: none"> • Review of data access and privacy policies • Data center security guidelines • Application security guidelines • Information risk management 	<p>Advise and assist on the prioritization, selection or use of:</p> <ul style="list-style-type: none"> • Enterprise integration systems and tools • System interoperability standards • API architecture <p>Advise and assist on:</p> <ul style="list-style-type: none"> • Distributed application inventory • System integration policies 	<p>Advise and assist on the prioritization, selection or use of:</p> <ul style="list-style-type: none"> • Enterprise network architecture • On-premise and off-premise server and platform infrastructure architecture • Identity and access management security • Regional, national and international network architectures <p>Advise and assist on:</p> <ul style="list-style-type: none"> • Next-generation network pricing and funding

“Better Together”

IT Governance on One Page – Advisory Groups, UCSD & UC Committees

IT Executive Governance Committee

Advisory groups can be ongoing serving specific business functions or temporary supporting projects and initiatives

Instructional Technology Committee	Enterprise Information Services Committee	Research IT Services Committee	Collaboration & Messaging Services Committee	Enabling Infrastructure for Data & Analytics Committee	Cybersecurity Governance Committee	Integration Services Committee	Infrastructure Services Committee
<p>Proposed advisory groups:</p> <ul style="list-style-type: none"> • Learning management system • Student analytics system 	<p>Proposed advisory groups:</p> <ul style="list-style-type: none"> • Advancement • Financial • Housing Dining Hospitality • Human Resources • Research Administration • Resource Management & Planning • Student 	<p>Proposed advisory groups:</p> <ul style="list-style-type: none"> • (None at this time) 	<p>Proposed advisory groups:</p> <ul style="list-style-type: none"> • CMS Technical 	<p>Proposed advisory groups:</p> <ul style="list-style-type: none"> • Data and Analytics advisory group 	<p>Proposed advisory groups:</p> <ul style="list-style-type: none"> • Cybersecurity Advisory • Identity and Access Management Advisory 	<p>Proposed advisory groups:</p> <ul style="list-style-type: none"> • (None at this time) 	<p>Proposed advisory groups:</p> <ul style="list-style-type: none"> • Next Generation Network (NGN) Planning and Advisory • Infrastructure Technology Advisory
Community Advisory Groups	Student IT Advisory Group						
UCSD Committees	Health IS Executive Committee	Academic Senate Committee on Academic Information Technology (CAIT)	Compliance, audit, risk and ethics committee (CARE)	Electronic Accessibility Oversight Committee			
UC Systems Committees	Electronic Accessibility Oversight Committee	Information Technology Leadership Council (ITLC)	Educational Technology Leadership Council (ETLC)	Information Technology Policy & Security Officers	Information Technology Architecture Committee	VCR / CIO Committee	UCPath ELT
							Cyber-risk governance committee & advisory board

Committee and advisory group guidelines

- IT Governance should be assessed in one year
 - The IT Executive Governance Committee will review the overall governance model in one year to determine what changes or adjustments may be needed
- Advisory groups
 - Each governance committee can establish advisory groups, if needed
 - Advisory groups can be standing or of a limited duration based on the governance committee needs
 - Advisory groups may assist with or provide input regarding
 - Local project and operational priorities
 - Review and alignment of IT service level commitments across the university
 - Business process issues
 - Policy development and review
 - Change management and organizational development issues
 - Review of specific initiatives and IT implementations

UC San Diego

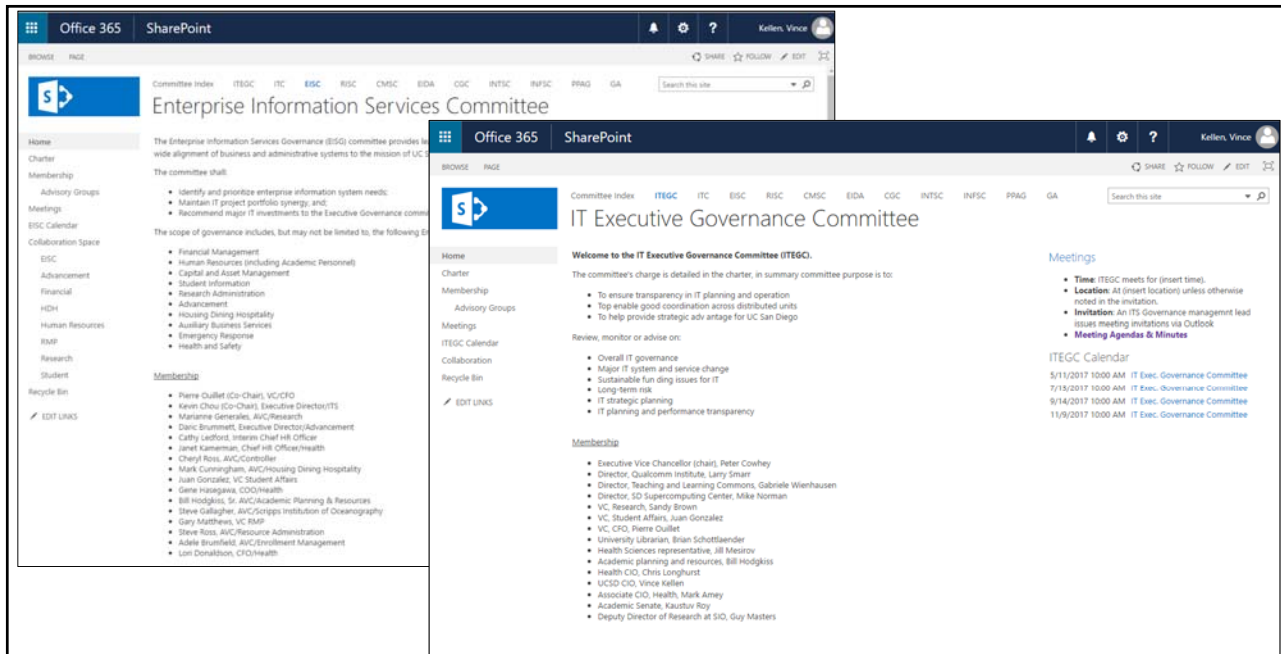
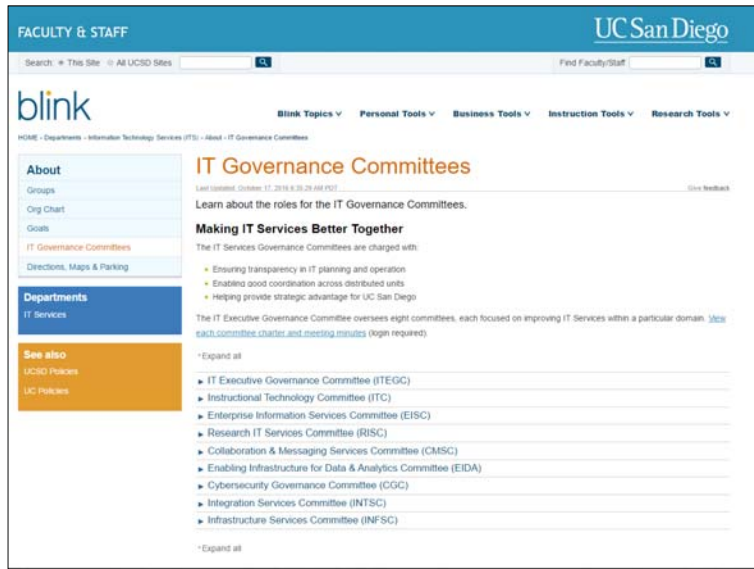
Project Portfolio Advisory Group and Governance Coordination

- Tasks
 - Share the full project list with the governance committees
 - Analyze project size, duration and resource issues
 - Resolve prioritization questions or conflicts not answered in committees
 - Share the project onboarding process, project charters and business cases with committees
- Scope
 - ITS projects
 - Since many projects are comprised of technical and functional staff inside and outside of ITS, the advisory group may provide guidance on key projects involving distributed units, as needed by the governance committees
- Membership
 - One representative from each committee
 - Led by Mojgan Amini (interim)
- Governance coordination, meeting schedules, etc.
 - Matt Bellino, ITS mbellino@ucsd.edu

UC San Diego

IT Governance Web Site

- Committees
 - Charters
 - Membership
 - Meeting schedule
 - Agendas and meeting notes
- Advisory and working groups
 - Charters
 - Membership
 - Meeting schedule
 - Agendas and meeting notes



ITS Organizational Structure

- **Academic Technology Services – Valerie Polichar**
 - Educational Technology & Instructional Computing Services
 - Course Provisioning and Instructor Support
 - Instructional Multimedia Services
 - Media Teaching Lab
 - EdTech Ecosystem Integration Services
 - Research IT Facilitation & Integration Services
- **Business Technology Services – Kevin Chou**
 - Student Information Services
 - Research Information Services
 - Financial & HR Information Services
 - Advancement Information Services
 - Housing Dining Hospitality Technology Services
 - Resource Management & Planning Information Services
- **Workplace Technology Services – Brett Pollak**
 - E-Mail and Calendaring Services
 - Collaboration and Data Collection Services
 - Advanced Analytic Services
 - Web Content Management Services
 - eSignature Services
 - Web and Mobile Technologies
 - Cloud Hosting Support
- **Architecture and Infrastructure Services – Brian DeMeulle**
 - Enterprise Architecture
 - Enterprise Network
 - Integration Services
 - Data Management
 - Computing Infrastructure & Data Center
 - Customer Engagement
 - Service Operations
 - Mainframe Operations

UC San Diego

ITS Organizational Structure

- **Process Management and Continuous Improvement – Mojgan Amini**
 - IT Outreach
 - IT Service & Process Management
 - Project Management Services
 - Portfolio Management Services
 - Marketing and Communications
- **IT Security Services – Mike Corn**
 - Enterprise Network Security
 - Identity & Access Management
 - IT Policy & Standards
- **IT Business Office – Sheryl Gerbracht**
 - Financial Management
 - Procurement
 - Inventory Management
 - Management & Administrative Support
 - Software Distribution

UC San Diego

April 2, 2017

Peter F. Cowhey, Interim Executive Vice Chancellor
Kaustuv Roy, San Diego Divisional Senate Chair

SUBJECT: Report of Seventh College Planning Task Force

Dear I-EVC Cowhey and Senate Chair Roy:

The Task Force assigned to provide recommendations for the planning of a Seventh (as well as possible Eighth and Ninth) College at UC San Diego has deliberated and developed responses to the three basic questions specified in the January 26, 2017 charge letter. On this cover page, we state the basic charges and our abbreviated responses to them, while the remainder of this report elaborates on specific details concerning each of the questions. We cite below our charges and summary recommendations.

First, in order to begin securing system-wide approvals expeditiously we ask this Task Force to reach a determination on whether there is a pressing need for creation of a Seventh College.

Given present student enrollments, University student growth projections, and considerations regarding optimal size viability for the successful functioning of colleges, we unanimously recommend the planning of a Seventh college and recognize the future need for additional colleges.

Second, we ask this task force to lay out a preliminary set of questions and considerations about the college's focus and objectives that should be addressed in the next three stages of work.

We have identified two basic structures for the orientation and goals of Seventh College. The first structure reflects the UC San Diego traditional thematic focus that has guided and shaped the creation of our present colleges. Under this traditional structure, we propose two alternative themes, each of which utilizes cross-divisional resources and further develops the interdisciplinary profile of UC San Diego: 1. Brain, Mind and Consciousness and 2. Information, Behavior and Ethics. The second structure is the development of a Transfer College. It is suggested that whichever of these structures is not adopted for Seventh College be considered in the subsequent planning for other colleges.

Third, we ask the Task Force to offer initial guidance on a physical plan of the college in the context of the overall campus building program.

A variety of options for location exist on campus, depending on whether a traditional structure or transfer college is adopted.

Regards,

Farrell Ackerman (Vice Chair, Academic Senate) ***Co-Chair***
Barbara Sawrey (Associate Vice Chancellor/Dean-Undergraduate Education) ***Co-Chair***

Report of Seventh College Planning Task Force

The Task Force assigned to provide recommendations for the planning of a Seventh (as well as possible Eighth and Ninth) college at UC San Diego has deliberated and developed responses to the three basic questions specified in the January 26, 2017 charge letter. Below we identify each charge and detail our deliberations and recommendations.

First, in order to begin securing system-wide approvals expeditiously we ask this Task Force to reach a determination on whether there is a pressing need for creation of a Seventh College.

The Task Force has determined that there is a pressing need for the creation of Seventh College. The October 17, 2016 Report of Pre-Planning Recommendations for New Colleges at UC San Diego articulated (page 3) a goal that colleges be of similar size at 4,000 students each. With a UC San Diego steady state projected at 32,000 undergraduate students total by 2035, and the number of students in each of our six colleges already exceeding 4,000, planning should begin immediately for Seventh College, with the goal that Eighth College follow within two years.

Operational experience related to the realities of accomplishing administrative functions, academic advising, student affairs advising, student programming, housing, and dining within a college's physical footprint all point to 4,000 as a goal for the number of students per college. An important consequence associated with the development of successful colleges is the need to develop housing capacity to accommodate approximately half of a college's students: this is best done by via residence halls which integrate the students into the college. This need is supported by historical evidence, including attested patterns of housing demand, which suggest desirability for on-campus housing for the freshman and sophomore years with a tapering of demand in subsequent years.

There is unanimity that the college system at UC San Diego is one of the signature ingredients for the quality of the overall undergraduate educational experience on campus and that the development of additional colleges will preserve and enhance that experience.

Second, we ask this task force to lay out a preliminary set of questions and considerations about the college's focus and objectives that should be addressed in the next three stages of work.

The Task Force considered two essential aspects of this question. First, whether Seventh College should have the same structure and organization as the existing colleges. Second, how well would the selected structure and organization interface with existing and future departments/programs as well as plans for changing undergraduate populations. We discuss each of these questions in turn.

The Task Force entertained two different structures for colleges, specifically, a college reflecting a designated academic profile as presently implemented by all six existing colleges (serving freshmen through seniors) versus a Transfer College.

Recognizing that the development of previous colleges has been informed by exciting and forward-thinking contemporaneous themes in research, the Task Force identified two such present-day themes, specifically *Brain, Mind and Consciousness* and *Information, Behavior and Ethics*. These alternative themes address the following desiderata: (re)affirm UC San Diego's commitment to a broad liberal arts education, be distinct from, but possibly synergistic with, the themes of other colleges, and have the

broadest possible application to fields of study in all divisions. Both proposed themes assume that 2/3 of students enter as freshman and that 1/3 of students enter as transfers.

The motivation for a *Brain, Mind and Consciousness* theme derives from the explosion of research and professions. The theme resonates with current interdisciplinary and international work, does not overlap with the six existing college themes, and aligns well with UC San Diego initiatives and strategic vision (such as the Kavli Institute), and the research focus of numerous academic departments (such as Cognitive Science, Psychology, Philosophy). Developing a multi-course general education sequence which provides an introductory, interdisciplinary exploration of the topic, while fully integrating a humanities core-writing sequence, would provide an academically relevant and valuable new venture.

The motivation for an *Information, Behavior and Ethics* theme derives from the modern challenges presented to deliberative civic discourse and policy (economic, social, etc.) owing to the accessibility of huge quantities of information and the unprecedented proliferation of new media venues employed for its proliferation. This entails the need for students to critically evaluate and understand the quantitative and qualitative means of information organization and the interpretation of information with respect to their socio-economic and cultural impact. The mission envisioned for this college is to provide students with the cross-disciplinary resources crucial for making valuable contributions to the shape of civil society. It is projected that this theme would essentially utilize departments and programs from multiple divisions, facilitating new collaborative interactions between numerous components on campus. For example, synergies are easily imagined between History, Philosophy, Visual Arts, Political Science, Communication, Linguistics, Sociology, Computer Science and Engineering, the new Data Sciences Institute and the proposed School of Public Health.

These two themes satisfy the desiderata mentioned above. They also reflect present and future areas of critical importance in society, further implement the campus' strategic plan, provide opportunity for novel faculty initiatives and collaborations, enhance the campus public profile of interdisciplinarity, and provide a diverse student population with the knowledge and skills (both the critical and formal) to understand and shape an increasingly complex world.

Both alternatives would follow AAC&U guidelines and provide necessary overlap of college and major requirements:

- Approximately 1/3 units (60) college requirements, including general education
 - Embed college-level writing
 - 3 lower division courses + option for 1 upper division course (for students entering as freshman)
 - at least 1 upper division course (for students entering as transfer)
- Approximately 2/3 units (120) major requirements and electives

The Task Force found both alternative themes to present exciting opportunities and did not identify either as the preferred alternative.

Concerning the development of a Transfer College, the discussion revolved around the novel notion of creating a college designed to address the particular needs and requirements of transfer students, who account for 1/3 of all entering undergraduates. It is acknowledged that this college could not accommodate all transfer students and that some will select and/or be assigned to the other existing

colleges. There was considerable discussion among the members of the Task Force concerning the desirability and feasibility of a Transfer College. The nature of this discussion is presented below. The arguments for a Transfer College are that it would be an academic entity specifically designed for the needs of the transfer student, with a core program that is specifically tailored to augmenting the transfer experience. This would provide increased institutional support and resources for transfer students and demonstrate greater value to this valuable student cohort, supported by academic advisors and student affairs staff who specialize in transfer students. The organizing theme for this college would be career and post-undergraduate preparation. In a sense, the college would be preparing them for graduation from the moment they arrive. A Transfer College, staffed by transfer specialists, could also share best practices with the other colleges and may improve the transfer experience across the campus.

Concerns about a Transfer College centered on the goal of primarily serving the practical needs of transfer students, without providing a clear intellectual and academic focus. Without the latter it seems challenging to specify a core curriculum associated with the college. Correlatively, unlike with the thematic college options guided by educational foci, there are no evident new synergies among Divisions associated with the Transfer College. Furthermore, transfer students form a heterogeneous group with respect to their academic backgrounds. Some will have completed Intersegmental General-Education Transfer Curriculum (IGETC), some with partial IGETC preparation, some with major preparation and few GE-associated courses. With the roll-out of major preparation, transfer student profiles may be in flux over the next few years; this may argue for revisiting the transfer college option during eighth college discussions. Accordingly, it seems difficult to treat this diverse population as uniform and to design a college curriculum to meet all of their needs. Finally, the question arose as to whether some of the main issues motivating the need to better integrate transfer students into campus life might be more efficiently addressed by reconceptualizing present strategies to achieve this goal.

In sum, the Task Force recommends considering two specific thematic colleges as well as the option of a Transfer College. While there was unanimous enthusiasm for both of the thematic alternatives, there was less agreement about the proposal for a Transfer College. There was consensus, however, that whichever alternative is not adopted for Seventh College might serve as a guiding idea in the development of Eighth College.

Third, we ask the Task Force to offer initial guidance on a physical plan of the college in the context of the overall campus building program.

The physical plan for Seventh College depends on the selection of the proposed college profile as discussed above, i.e. traditional or transfer college. Location options include using or redeveloping the existing Sixth College housing, using the existing North Campus Village housing, developing in the North Torrey Pines/Extension area, and developing on the Revelle parking lots, though the extensive capital plans for the campus may expand or contract the list of options.

Traditional

Short term

- Sixth College site

Long term

- Sixth College site
- North Torrey Pines/Extension area
- Revelle parking lots

Transfer

Short term

- The North Campus Village
- Sixth College site

Long term

- The Village
- Sixth College site

Independent of the determination of traditional or transfer college, each site has different features, capacities and limitations:

Sixth College site

- Short term
 - Start date 2020-21 or 2021-22
 - Capacity: 1,243 to 1,461 beds depending on configuration (short of 2,000 bed goal)
 - No dining facility
 - Residence Life support spaces are in place
 - Temporary provost administrative space would be available in Pepper Canyon Hall starting Fall 2020
- Long term
 - Housing, Dining and Hospitality (HDH) proposes to develop a comprehensive plan to move the entire college to either east or west of the proposed LRT station
 - Capacity: 2,000 beds
 - Requires a permanent Provost administration building to be built (8-10K asf)

The North Campus Village

- Short term
 - Achievable as early as academic year 2018-19
 - Capacity: 1,996 beds
 - Residence Life Staff is in place and fully functioning
 - Requires temporary provost administrative space
- Long term
 - Requires a permanent Provost administrative building to be built (8-10K asf)

North Torrey Pines/Extension area

- Long term
 - Housing, Dining and Hospitality (HDH), in consultation with Campus Planning, proposes to develop a comprehensive development plan for further consideration

Revelle Parking Lots

- Long term
 - Housing, Dining and Hospitality (HDH) , in consultation with Campus Planning, proposes to develop a comprehensive development plan for further consideration

Thank you very much for the opportunity to provide input on this important campus initiative.

Seventh College Planning Task Force

Farrell Ackerman (Vice Chair, Academic Senate) **Co-Chair**

Lera Boroditsky (Associate Professor, Cognitive Science)

Matthew Herbst (Associate Teaching Professor and Director, MMW/ERC Writing Program)

William Hodgkiss (Sr. Associate Vice Chancellor, Academic Planning and Resources)

John Moore (Provost, Muir College)

Robert Pomeroy (Teaching Professor, Chemistry-Biochemistry)

Steve Ross (Associate Vice Chancellor, Academic Affairs Resource Administration)

Barbara Sawrey (Associate Vice Chancellor/Dean-Undergraduate Education) **Co-Chair**

Robert Clossin (Director, Campus Planning) *Consultant*

Mark Cunningham (Assistant Vice Chancellor, Housing, Dining & Hospitality) *Consultant*

Kathleen D. Johnson (Assistant Dean, Undergraduate Education) *Staff*

**ANNUAL REPORT
COMMITTEE ON ACADEMIC PERSONNEL (CAP)
2016-17**

INTRODUCTION

The Committee on Academic Personnel (CAP) is charged with representing Senate faculty by making recommendations to the Administration concerning academic personnel and related matters. It also advises the IEVC and the Academic Senate on general policy related to academic personnel. The work of CAP exemplifies the principle and practice of shared governance. *Where CAP Stood* explains CAP's deliberations and is helpful to departments in file preparation. This report describes the extent to which the recommendations on these matters have been accepted by the administration, and also informs the division of other issues that were considered or remain pending.

COMMENTARY

Process, Interaction, and Consultation

In 2016–17 CAP held 34 meetings and conducted 712 reviews (636 initial/additional/reconsiderations, 76 post-audits of dean delegated actions). Actions ranged from consecutive no-change and contested merit advancements to appointments, promotions, accelerated merit advancements, and retentions. In the interest of openness and effective communication, CAP regularly extends an invitation to the EVC, the Vice Chancellors for Research, Health Sciences, and Marine Sciences, as well as to Divisional Deans and Department Chairs and their respective AP staff, to visit the committee to discuss its practices and procedures or general issues of campus academic personnel policy. In all, CAP received 28 visitors during 2016-17. The CAP Chair attends meetings with department chairs at the beginning of the year to discuss the academic review process and also the New Faculty Orientation.

2016–17 Rate of Override and Disagreement. In seeking to ensure consistency and fairness across departments, divisions, and schools, CAP follows and interprets the guidelines in the PPM on all matters in its recommendations to the IEVC. In cases where the IEVC or other final authority anticipates issuing a final decision contrary to the Committee's recommendation, that individual attends a CAP meeting to present the arguments for not following CAP's recommendation. In some cases, this discussion leads to agreement. In others, there is an agreement to disagree, with such cases often involving programmatic priorities of the campus that fall outside the criteria upon which CAP makes recommendations.

Overrides are those cases where the IEVC's final action (or, in the case of Research Scientist and Unit 18 Lecturer files, the final action of the relevant VC or Dean) differs from CAP's final recommendation in step or action (appointment, merit advancement, promotion, etc.). Fourth-year appraisals of Assistant Professors that differ from CAP's recommendation are not considered *Overrides*. *Disagreements* are cases where the IEVC's final action differs from CAP's recommendation in terms of salary (related to market off-scale or bonus off-scale salary components). In cases where the CAP membership is evenly split between two actions, a choice of either action is not an *Override* or *Disagreement*. In 2016–17 there were seven cases in which the Administration's final action differed from CAP's recommendation; one involved the increment for merit advancement, four involved accelerated promotions, two involved the step for appointment.

The Advisory Committee on the Arts. The Advisory Committee on the Arts considered the creative contributions in 8 cases that were forwarded to CAP in 2016–17. CAP's final recommendation, which also takes teaching and service into account, agreed with the ACA's recommendations in all but two cases, one in which CAP rendered academic judgement in favor of the departmental recommendation and the other resulted in CAP endorsing an upward modification.

Preparation of Files. As part of its ongoing efforts to calibrate requests for accelerated merit advancements, CAP conducts retrospective reviews (post-audits) of dean delegated merit advancements from various departments. In general, files were well prepared, but CAP notes the need for clear articulation of departmental standards for normal merit advancement in many instances. CAP also strongly encourages Departments to document fully the teaching and service contributions of their faculty members. Files submitted for CAP review can be delayed if teaching evaluations are not included in the file, or if there is insufficient analysis of a candidate's teaching and service contributions.

In disciplines where candidates routinely engage in collaborative work, CAP strongly emphasizes the need for departmental recommendation letters to identify clearly the candidate's contribution to his or her publications. This is especially important as the University engages in team science and inter-disciplinary hires. The absence of information supporting the departmental proposal occasionally resulted in delays in making a recommendation due to the need for CAP to ask for additional information from the Department.

Campus Ad Hoc Committees. Given the diverse representation of disciplines around the table, CAP acts as its own *ad hoc* committee. By the time cases arrive at CAP, there is already considerable expertise represented in the file, from the Departmental *ad hoc* committees, senior faculty members, and Chair, from external referees, and from the cognizant Dean. Unless there is some substantial disagreement about the quality of the work, and CAP lacks suitable knowledge itself, a campus *ad hoc* committee is not regarded as necessary. CAP acted as its own *ad hoc* committee in 260 cases during 2016-17.

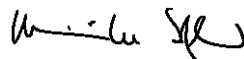
Policies, Issues, and Action Items Addressed

In addition to acting on individual academic personnel files, CAP, at the request of the IEOC or the Academic Senate Chair, reviews general academic personnel policies and reports and other issues of interest related to academic personnel. CAP also reviews Departmental voting procedures, the conferral of emeritus status to non ladder-rank faculty, reviews of administrators, and appointments and reappointments as Endowed Chairs. CAP reviewed 43 such requests during 2016-17.

CONCLUSION

The Committee is grateful for the wisdom of its Advisory Committee on the Arts; the School of Medicine Committee on Academic Personnel; the Department of Medicine Council on Appointments and Promotions; the Scripps Institution of Oceanography Committee on Academic Personnel; the Deans, Provosts, Department Chairs, and ORU Directors; and those faculty members who served on departmental *ad hoc* committees. CAP also wishes to thank staff members involved in the academic review process at various levels for their many hours of efficient, knowledgeable, and professional work in file assembly and annotation, which is so crucial to fair and consistent reviews.

Respectfully submitted,



Ulrike Strasser, Chair
Committee on Academic Personnel

**ANNUAL REPORT
COMMITTEE ON RESEARCH
FISCAL YEAR 2016/17**

DIVISION COMMITTEE

The Committee on Research (COR) met monthly during the academic year to consider a number of issues. During the course of these meetings, the following principal issues were addressed and reports were prepared accordingly.

1. Annual Report, Committee on Research, FY 2015/16 - No action needed/taken.
2. By Laws and Charge of COR – No action needed/taken.
3. Role of the Lead Discussant in an Organized Research Unit (ORU) Review – Identified ORU lead discussants for the three ORUs that will be reviewed this academic year.
4. Overview of the Organized Research Unit (ORU) Review Process – AVC Miroslav Krstic provided an informative slide presentation on the role of the Academic Senate and COR in the review process.
5. Creation of Department of Urology – Supported creation of this department noting it would provide autonomy and independence and will likely help recruitment and retention efforts.
6. Proposed Presidential Policy on International Activities – Found description too vague and general, especially those parts related to risks and academic freedom. The changes from the original version were not highlighted or tracked making the discussion on these changes very difficult. It was not clear from the revised policy who is the Executive Officer. COR recommended further revisions to clearly address these issues and re-evaluation of the proposal.
7. Renewal of VA-SDSU-UCSD Affiliation Agreement for a JDP in Clinical Psychology – Deferred making a recommendation on the proposed affiliation agreement until the Senate receives proper background information on the scientific and educational value of the existing program.
8. Semi-Annual International Agreement Report - No action needed/taken.
9. Review of PPM 200-13, Conflict of Interest - Found the revisions to be reasonable and could discover no substantive concerns.
10. Review of Proposed Revisions to UC Senate Bylaw 182 – Found revisions to be reasonable and unobjectionable.
11. Updates in Integrity of Research Management FY2016/17 – No action needed/taken.
12. National laboratories – UCORP is looking into increasing links through joint funding programs. White paper being put together on the value provided by national labs.
13. MRU process – Impact to faculty on changes in immigration policy. OP is looking at policy to help increase awareness.
14. Establishment of an ORU Task Force to review the current process and make recommendations for improvements.
15. Organized Research Unit: Multi-Year Review of the Center on Global Justice (CGJ) – CGJ has been successful; COR endorsed recommendation of the review committee for continuation of the ORU for another five years.
16. Organized Research Unit: Multi-Year Review of the San Diego Supercomputer Center (SDSC) – SDSC is a valuable and has an impressive record vis-à-vis the progress made since the last review; COR recommended its continuation for another five years.
17. Organized Research Unit: Multi-Year Review of the Center for Food and Fuel in the 21st Century (FF21) – Low level of external funding creates questions regarding sustainability and insufficient interaction between plant and algal components of the ORU is concerning. COR recommends re-evaluation within the next three years.
18. Proposed Unmanned Aircraft System (UAS) Presidential Policy – Found policy reasonable, no objections raised.
19. Proposed Revisions to the Presidential Policy Business & Finance Bulletin G-28, Travel Regulations - Found the revisions reasonable. Recommended that the word “dependents” be better defined to include persons with disabilities.
20. Research Grant Funding Increase for GC/HS/MS Research Grant Committees – No action needed/taken.
21. Systemwide Review of Proposed Presidential Policy on Export Controls - Found proposed policy timely and well written which can be used as a platform for the creation of campus-specific Export Controls

- Presidential policies that reflects the individual needs of each campus. Recommended a revision to section IIIA that states “the export from the United States to a foreign country of certain items, information, or software”. To avoid ambiguities, the term “certain Items” should be better defined.
22. UCORP representative provided reports on the following main issues discussed at the monthly UCORP meetings; no action needed/taken:
- Inter-Institutional ORUs
 - Multi-Campus Research Programs
 - Sexual Harassment Policy Review
 - Health Services and Clinical Enterprise
 - Changes in the Health Sciences Model of Health Flow
 - Internationalization of Programs
 - Proposal to revise how professional degree supplemental tuition is set
 - Proposal to have foreign travel registered in a central database in case of emergencies
 - Strategic plan to have faculty monitor their identity using ORCID
 - UC Openness and Research Policy
 - National Elections – prepare for potentially major changes in research support
 - National Labs - looking into increasing links through joint funding programs
 - General role of research in society
 - Presidential Policy on Export Controls
 - New teaching professor title – how it impacts research

GENERAL CAMPUS RESEARCH GRANT COMMITTEE

The General Campus Research Grant Committee met on November 23, 2016 and February 21 and May 9, 2017 to review research and bridge grant applications for FY 2016/17. The Committee reviewed the results of the bridge funding grants previously awarded. Based on the progress reports received on these projects, the Committee was convinced of the importance and need for continuation of the Bridge Funding program initiated in FY1995/96. Three calls for bridge funding applications were transmitted. Eleven Bridge Funding program applications were reviewed and seven were funded, totaling \$279,664. Applicants were required to demonstrate strong proposals for continuing research programs that had received peer-reviewed extramural funding for at least four of the last five years and, that despite efforts to re-establish funding, were without any funding between June 30 and December 31, 2016. The Committee’s evaluation of proposals emphasized the quality of the research, past publication record, and the likelihood of future funding.

The existing policies regarding awards for bridge funding, individual research proposals, travel to scholarly meetings, and the intercampus exchange program were thoroughly reviewed by the Committee and a few notable modifications were incorporated in the application call letters on the Committee’s website at <http://senate.ucsd.edu/grants-awards/grant-funding/>. The Committee agreed to continue its policy limiting the ceiling for bridge funding to \$40,000, as well as the ceiling for individual grants to \$15,000, and to maintain the maximum cumulative support figure at \$60,000 over a ten-year period. The ceilings for payment towards the cost of airfare for Travel to a Scholarly Meeting were 75% of an economy airfare not to exceed \$1500 for foreign travel and \$600 for domestic travel. Effective 7/1/17 for junior faculty only, additional eligible covered items to the airfare expenses described above, awards for junior faculty may cover 75% of reasonable costs of conference registration, accommodations, and ground transportation, up to a maximum award of \$600 for these additional expenses. Applications must include documentation supporting the expenses for which an award is requested (e.g., hotel reservations; conference registration information and/or receipts; ticketing, reservation, or fare information for train, car rental, or other ground transportation).

Research – Of 122 individual applications reviewed, 111 were funded for a total of \$1,003,749. Five awards totaling \$199,664 were made possible by the Earl C. Anthony Endowment Trust Fund. Eleven requests totaling \$123,930 were denied primarily due to budget constraints. Eleven Bridge Funding program applications were reviewed and seven were funded, totaling \$279,664. Four bridge funding requests totaling \$159,274 were denied. The breakdown of awards by faculty rank is as follows:

<u>Level</u>	<u>Awards</u>
Assistant Professor	22 (1 Bridge)
Assistant Res Scientist	1
Associate Professor	35 (2 Bridge)
Professor	56 (4 Bridge)
Professor Emeritus	1
LPSOE	2
SrLSOE	<u>1</u>
	118

The breakdown by department and discipline is as follows:

<u>Department</u>	<u>Awards</u>	<u>Amount</u>
Anthropology	4	\$ 25,872
Communication	5	44,832
Economics	2	46,402
Education Studies	0	0
Ethnic Studies	4	32,853
Linguistics	1	4,924
Political Science	7	73,284
Psychology	4	54,834
Sociology	3	29,766
Total Social Sciences	59	\$ 334,300
History	24	168,748
Literature	6	37,772
Music	10	93,242
Philosophy	11	55,662
Theatre & Dance	3	22,839
Visual Arts	<u>5</u>	<u>54,547</u>
Total Humanities and Arts	59	\$ 432,810
Cell & Developmental Biology	5	65,000
Ecology, Behavior & Evolution	1	10,350
Molecular Biology	2	25,000
Neurobiology	<u>1</u>	<u>14,640</u>
Total Division of Biological Sciences	9	\$ 114,990
Chemistry & Biochemistry	0	0
Mathematics	1	7,790
Physics	<u>0</u>	<u>0</u>
Total Division of Physical Sciences	1	\$ 7,790
Bioengineering	1	14,987
CSE	0	0
ECE	0	0
Nanoengineering	0	0
MAE	3	44,732
Structural Engineering	<u>0</u>	<u>0</u>
Total Engineering	4	\$ 59,719
Rady School of Management	2	18,980
School of GPS	<u>3</u>	<u>35,160</u>
Total Schools/Centers	5	\$ 54,140
Total Individual Research Awards:	<u>111</u>	<u>\$ 1,003,749</u>
<u>Bridge Funding Awards</u>		
Cell & Developmental Biology	1	39,801
Chemistry & Biochemistry	4	160,000
Cognitive Science	1	40,000
Physics	<u>1</u>	<u>39,863</u>
Total Bridge Funding Awards:	7	\$ 279,664
GRAND TOTAL:	<u>118</u>	<u>\$1,283,413</u>

Intercampus Exchange Program (FY 2016/17) - Eleven academic departments received grants totaling \$25,079 in support of the University's Intercampus Exchange Program. A formula of \$75 per Academic Senate member plus \$11 per registered graduate student was used to determine the total amount of this award.

Travel to a Scholarly Meeting – Of 250 applications reviewed, 244 were funded totaling \$178,937; \$11,516 was returned for redistribution; six requests totaling \$5,519 were denied. One hundred nineteen of the trips funded were for foreign travel and 125 for domestic travel. The breakdown of awards by faculty rank is as follows:

<u>Level</u>	<u>Awards</u>
Assistant Professor	57
Associate Professor	63
Professor	108
Professor Emeritus	4
LISOE/LPSOE	10
SrLISOE	<u>2</u>
	244

The breakdown by discipline and department is as follows:

<u>Department</u>	<u>Awards</u>	<u>Amount</u>
Anthropology	12	\$ 7,090
Cognitive Science	0	0
Communication	10	6,611
Economics	7	8,200
Education Studies	6	2,517
Ethnic Studies	7	3,476
Linguistics	3	2,079
Political Science	13	7,506
Psychology	3	1,865
Sociology	14	8,138
Total Social Sciences	75	\$ 47,482
History	19	12,341
Literature	16	6,816
Music	10	7,945
Philosophy	8	5,860
Theatre & Dance	4	1,225
Visual Arts	<u>4</u>	<u>4,133</u>
Total Humanities & Arts	61	\$ 38,320
Cell & Developmental Biology	3	1,747
Ecology, Behavior & Evolution	2	629
Molecular Biology	7	3,950
Neurobiology	<u>2</u>	<u>847</u>
Total Biological Sciences	14	\$ 7,173
Chemistry & Biochemistry	15	11,582
Mathematics	5	4,515
Physics	<u>11</u>	<u>10,453</u>
Total Physical Sciences	31	\$ 26,550
Bioengineering	7	6,486
CSE	3	2,511
ECE	9	9,630
MAE	10	13,098
Nanoengineering	11	8,484
Structural Engineering	<u>7</u>	<u>6,774</u>
Total Engineering	47	\$ 46,737

Roosevelt College	2	1,171
School of GPS	6	4,346
Rady School of Management	8	7,158
Total Schools/Colleges	16	\$ 12,675
GRAND TOTAL:	<u>244</u>	<u>\$178,937</u>

HEALTH SCIENCES RESEARCH GRANT COMMITTEE

The Health Sciences Research Grant Committee met on November 30, 2016 and February 14 and May 2, 2017 to review applications for FY 2016/17. The existing policies regarding awards for bridge funding, individual research proposals and travel to scholarly meetings were thoroughly reviewed by the Committee, and the modifications were incorporated in the application call letters on the Committee's website at <http://senate.ucsd.edu/grants-awards/grant-funding/>. The Committee agreed to continue its policy limiting the ceiling for individual grants to \$10,000 and bridge funding to \$25,000. Because of budget constraints, they also agreed to limit Travel to a Scholarly Meeting grants to Academic Senate members only, maintaining the ceiling of \$500 for payment towards the cost of an economy airfare and/or registration fee every other fiscal year. Effective July 1, 2017, the Committee voted to increase the ceiling on Travel to Scholarly Meetings grants to \$750, maintaining the policy that it would be for Academic Senate members only for payment towards the cost of an economy airfare and/or registration fee every other fiscal year.

Research – Of 71 research applications reviewed, 46 were funded, totaling \$450,528; twenty-five requests totaling \$119,860 were denied primarily due to budget constraints. The Committee reviewed ten and funded eight bridge program applications, totaling \$172,044; two requests totaling \$34,790 were denied. The breakdown of the awards by rank is as follows:

<u>Level</u>	<u>Awards</u>
Assistant Professor	8
Assistant Professor-in-Residence	2 (1 Bridge)
Assistant Adjunct Professor	5
Assistant Clinical Professor	2
Assistant Project Scientist	4
Associate Professor	5 (2 Bridge)
Associate Professor of Clinical X	3 (1 Bridge)
Associate Prof-in-Residence	5
Associate Adjunct Professor	1
Associate Clinical Professor	2
Professor	10 (1 Bridge)
Professor-in-Residence	6 (1 Bridge)
Clinical Professor	1
	54

The breakdown by department is as follows:

<u>Department</u>	<u>Awards</u>	<u>Amount</u>
Anesthesiology	0	\$ 0
Cancer Center	2	20,000
Cellular Molecular Medicine	1	10,000
Institute of Engineering in Medicine	1	9,128
Family Medicine/Public Health	4	33,164
Medicine	9	91,259
Neurosciences	1	9,966
Ophthalmology	1	10,000
Orthopedic Surgery	1	0
Pathology	3	30,000
Pediatrics	2	19,400
Pharmacology	2	20,000
Psychiatry	11	109,111

Radiation Medicine	0	0
Radiology	1	10,000
Reproductive Medicine	1	10,000
SSPPS	5	48,500
Surgery	0	0
Urology	<u>2</u>	<u>20,000</u>
Total Individual Research Awards:	46	\$ 450,528

Bridge Funding Awards

Anesthesiology	1	24,999
Medicine	1	25,000
Pathology	1	25,000
Pediatrics	1	15,000
Pharmacology	1	25,000
Radiology	2	32,045
Reproductive Medicine	<u>1</u>	<u>25,000</u>
Total Bridge Funding Awards:	8	\$ 172,044

GRAND TOTAL: 54 **\$ 622,572**

Travel to a Scholarly Meeting – Of 71 applications reviewed, 51 were funded totaling \$23,989; \$2,083 was returned for redistribution, and 20 applications totaling \$15,724 were denied. Sixteen of the trips were for foreign travel and 35 were for domestic travel. The breakdown of the awards by rank is as follows:

<u>Level</u>	<u>Awards</u>
Assistant Professor	5
Assistant Professor-in-Residence	4
Assistant Professor of Clinical X	1
Associate Professor	6
Associate Professor of Clinical X	3
Associate Prof-in-Residence	5
Professor	15
Professor-in-Residence	6
Professor of Clinical X	4
Professor Emeritus	<u>2</u>
	51

The breakdown of the awards by department is as follows:

<u>Department</u>	<u>Awards</u>	<u>Amount</u>
Anesthesiology	4	\$ 1,891
Cellular Molecular Medicine	2	1,000
Emergency Medicine	0	0
Family Medicine/Public Health	1	403
Medicine	5	2,163
Neurosciences	2	1,000
Ophthalmology	1	500
Orthopedic Surgery	1	500
Pathology	2	838
Pediatrics	8	3,774
Pharmacology	1	500
Psychiatry	2	1,000
Radiation Medicine	1	422
Radiology	7	3,386
Reproductive Medicine	2	941
SSPPS	9	4,171
Surgery	2	1,000
Urology	<u>1</u>	<u>500</u>
Total Travel Awards:	<u>51</u>	\$ 23,989

MARINE SCIENCES RESEARCH GRANT COMMITTEE

The Marine Sciences Research Grant Committee met on November 23, 2016 and February 13 and April 18, 2017 to review applications for FY 2016/17. The existing policies regarding awards for research and travel to scholarly meetings were thoroughly reviewed by the Committee. The Committee agreed to continue its policy to maintain the ceiling for individual grants at \$15,000 and the maximum cumulative support figure at \$45,000 over a ten-year period. The modifications were incorporated in the application call letters on the Committee's website at <http://senate.ucsd.edu/grants-awards/grant-funding/>. The ceilings for payment towards the cost of airfare on economy/coach tickets for Travel to a Scholarly Meeting will remain at \$1500 for foreign travel and \$1000 for domestic travel. The Committee would like new requests for support to demonstrate more evidence of results from previous Academic Senate funding, such as a manuscript or the receipt of a larger award stemming from a "seed" money grant.

Research – Of 28 research applications reviewed, 15 were funded totaling \$121,375; one bridge funding application for \$15,000 was approved. Nine requests totaling \$215,022 were denied. The breakdown of the awards by rank is as follows:

<u>Level</u>	<u>Awards</u>
Assistant Professor	4 (1 Bridge)
Associate Professor	2
Professor	5
Research Scientists	<u>5</u>
	16

The breakdown by division is as follows:

<u>Division</u>	<u>Awards</u>	<u>Amount</u>
CASPO	2	\$ 13,354
CMBB	1 (Bridge)	15,000
GRD	3	27,328
IGPP	2	20,336
IOD	2	21,268
MBRD	5	36,036
MPL	<u>1</u>	<u>3,053</u>
Total Research Awards:	16	\$ 136,375

Travel to a Scholarly Meeting – Of 20 applications reviewed, all 20 were funded, totaling \$18,463; \$989 was returned for redistribution. Eleven awards were for foreign travel and nine were for domestic travel.

<u>Division</u>	<u>Awards</u>	<u>Amount</u>
CASPO	1	\$ 1,500
CMBB	1	1,500
GRD	4	3,114
IGPP	5	4,537
MBRD	6	5,177
MPL	<u>3</u>	<u>2,633</u>
Total Travel Awards:	20	\$ 18,463

Division Committee

Respectfully submitted,

Adam Engler
 Karl Gerth
 Bennetta Jules-Rosette
 Katharina Rosenberger
 Vivek Sharma
 Deborah Yelon
 Sandra Brown, *ex officio*
 Andrew Baird, UCORP Representative
 Gregory Rouse, Vice Chair
 Emmanuel Theodorakis, Chair

General Campus Research Grant Committee	Simpson Joseph Grant Kester Amy Pasquinelli (W/S) Ravi Ramamoorthi Nicholas Spitzer (F) Yixiao Sun Eric Watkins Patrick Patterson, Chair
Health Sciences Research Grant Committee	William Gerwick Thomas Hnasko John Shyy Judy Varner Jing Yang Harold (Hal) Hoffman, Chair
Marine Sciences Research Grant Committee	Sarah Gille Stuart Sandin James Day, Chair



September 27, 2017

Dr. Farrell Ackerman
Division Chair Academic Senate

On behalf of CUSH Chair Oleg Shpyrko, I am submitting the Committee on Undergraduate Scholarship and Honors 2016-2017 Annual Report. Also included is the CUSH approved 2016-2017 Scholar-Athlete Report.

Please contact me if there are any questions.

Sincerely,

Esteban Marquez
Associate Director – Financial Aid Office

Enclosures

cc: Oleg Shpyrko, CUSH Chair
Ray Rodriguez, Director Academic Senate

Committee on Undergraduate Scholarships and Honors (CUSH) 2016-2017 Annual Report

Chair 2016-2017

Shpyrko, Oleg (Physics)

Members 2016-2017

Alexander, Amy (Visual Arts)

Kheshti, Roshanak (Ethnic Studies)

Delson, Nathan (Mechanical & Aerospace Engr.)

Lo, Stanley (Cell & Developmental Biology)

Hankins, Joseph (Anthropology)

Shurin, Jonathan (Ecology, Behavior & Evolution)

Kayali, Hasan (History)

2017-2018 Continuing Student Scholarships

For the 2017-2018 undergraduate scholarships review process, CUSH members participated in reading and scoring applications for a wide range of awards, including summer research awards and continuing student scholarships.

- 21 Summer Research Scholarship Programs provided scholarships for 94 students receiving \$5,000 per student for participating in UCSD research, totaling \$486,000.
- 91 Continuing Student Scholarship Programs – reviewed and scored by CUSH, Departmental, and Special Committees, provided awards for approximately 300 UCSD current students for a total of over \$703,000. The scholarship criteria varied per program, allowing for a diverse pool of candidates – all demonstrating academic achievement and most with financial need. CUSH members generally review and select in the areas of their academic divisions and/or interests.
- Various campus awards such as the UJIMA Black History Month Scholarships and UC Initiatives such as the Global Food and Climate Initiatives, also included CUSH participation.

Fall 2017 Freshman and Transfer Student Scholarships

Beginning in March 2017, 1,700 Fall 2017 admitted students were offered scholarship awards. Over 400 students accept awards that range from \$2,000 to \$30,000 per year -for 4 academic years as freshmen, and 2 academic years as transfer students. These awards included Regents Scholars, Chancellor's Associates, Chancellor's Scholars, and Jacobs Engineering Scholars, along with a variety of other restricted awards.

Major Scholarships Listed

- | | | | | |
|--|---------------|-----------|-------|----------------|
| • Regents Freshmen \$2,000 Honorarium | Offered 1,009 | Yield 80 | (7%) | = \$ 160,000 |
| • Regents Transfers \$2,000 Honorarium | Offered 28 | Yield 3 | (10%) | = \$ 6,000 |
| • Chancellor's Associates \$10,000 | Offered 340 | Yield 206 | (60%) | = \$ 2,060,000 |
| • Chancellor's Scholars \$5,000 | Offered 215 | Yield 40 | (18%) | = \$ 200,000 |
| • Jacobs Engineering \$30,000+ | Offered 40 | Yield 9 | (22%) | = \$ 254,128 |

Financial Aid Office Support to CUSH

Becky Obayashi – Scholarship Coordinator

Michele Ojeda – Scholarship special Projects Coordinator

Evelyn Gomez – Scholarship Counselor

Esteban Marquez – Associate Director

2016-2017

Scholar-Athlete Awards Report

Submitted to the Committee on Undergraduate Scholarships and Honors (CUSH)

September 27, 2017

Executive Overview

In summer 2013, the Academic Senate approved a revised model for awarding athletic grant-in-aid and requested that CUSH review an annual report on awards made under the revised model. Scholar-athletes may be offered varying levels of support based upon several factors and a hierarchical approval process to ensure oversight.

CUSH reviewed the Scholar-Athlete awards process in November 2013 and 2014; the first awards provided under this new model occurred in Fall 2014. A summary report of those awards was provided to CUSH in June 2015. This report provides data on the scholar-athlete awards granted for the 2016-17 academic year, as well as various background documents previously provided.

Scholar-Athlete Award Data as of September 2017

Sum Total of Award Amount: \$479,753.00

Number of Individual Scholar-Athletes: 194

Average Scholar-Athlete Award Amount: \$2,235.00

Most Common Scholar-Athlete Award Amount Granted: \$1,000.00

Minimum Award Granted: \$500.00

Maximum Award Granted: \$27,017.00

Distribution of Awards Granted by Award Amount:

<u>Award Amount</u>	<u>Number of Awards</u>
\$27,017.00	1
\$20,000.00	1
\$15,000.00	1
\$13,500.00	1
\$12,500.00	1
\$9,600.00	1
\$9,500.00	2
\$8,800.00	2
\$8,000.00	2
\$7,500.00	2
\$7,017.00	1
\$7,000.00	2
\$6,500.00	1
\$5,200.00	1
\$5,000.00	10
\$4,000.00	4
\$3,900.00	1
\$3,603.00	1
\$3,500.00	3
\$3,000.00	9
\$2,500.00	8
\$2,000.00	17
\$1,750.00	1
\$1,500.00	28
\$1,000.00	37
\$500.00	55
\$250.00	1

Please note there are students who were grandfathered under the previous model where everyone received a \$500 scholarship if they made the team and met the requisite GPA. The sum total of all awards, including these grandfathered student-athletes, is \$479,753.00. There were 32 student-athletes on grandfathered awards for the 2016-17 academic year.

Academic Performance – Scholar-Athlete Awardees

- Academic Performance, following the *Spring Quarter Grade Period*, Average Cum UC GPA:**3.14**
- Number of Scholar-Athletes Under 2.6 Cumulative GPA:**10** (**3** have a Cum GPA of 2.4 or higher)
- Individual Cumulative GPAs of Scholar-Athletes below 2.6 and Corresponding Award Amount:

Cumulative GPA	Award Amount
1.98	\$1,500.00
2.12	\$1,000.00
2.16	\$500.00
2.18	\$500.00
2.21	\$27,017.00
2.25	\$9,000.00
2.37	\$1,500.00
2.50	\$1,500.00
2.52	\$500.00
2.59	\$1,500.00

Break Down of Scholar-Athlete GPAs

184 students	94%	At or Above 2.6 Cumulative GPA
4 students	1.9%	Between 2.4 – 2.59 Cumulative GPA
7 students	3.7%	Between 2.0 - 2.39 Cumulative GPA
1 student	0.46%	Below 2.0 Cumulative GPA

11.2 Athletic Scholarships (aka Scholar-Athlete Awards) & Financial Aid

11.2.1 General Policies Regarding Scholar-Athlete Awards

The University's current academic standards for admission and the determination of initial and continuing eligibility will not be altered by the offering of Scholar-Athlete Awards (SAA). Only student-athletes who have been admitted or have been reviewed by the Admissions Office and are of an admissible level may be offered a SAA.

SAA's are awarded for a one year period per NCAA rules. Student-athletes are limited to 5 years of eligibility, and are not eligible to continue receiving the SAA thereafter.

NCAA Division II rules cap the amount of aid at the value of a full grant-in-aid. A full grant-in-aid is based on the following elements: registration fees, tuition, room (based on average), meals based on the University estimated meal plan for a student's residency and living designation, and books (\$800 per NCAA rules). NCAA Bylaw 15.02.5.

SAAs are for use during the regular academic year; not as summer school aid. Any potential awarding of aid for summer will be a separate awards process.

Administration of SAAs will meet the rules and regulations of UC San Diego, the NCAA and all applicable conference affiliates.

Student-athletes who receive a SAA must maintain full-time status (12 units), unless an exception applies. A student-athlete who is enrolled in less than 12 units must be in his/her final quarter and graduating at the end of that term. He/she must have certification on file with the Athletics Department that he/she is enrolled in the final units necessary for his/her degree in order to receive the SAA.

A SAA requires maintaining a cumulative UC-GPA of 2.6 or greater, which is checked annually prior to the start of the fall quarter.

11.2.2 Letters of Intent/Offers of Aid to Recruits:

Policy:

A NLI or any other forms of commitment will only be issued to those PSAs who have been deemed admissible via the pre-evaluation process or who have already been admitted.

Procedure:

The head coach fills out a Letter of Intent Request via TritonShare – in the Compliance module. The coach has an option to request a (1) National Letter of Intent, (2) Institutional Letter of Intent (non-scholarship) or (3) Scholarship Only. Once submitted, the request is routed for approvals (sport supervisor, compliance, FAR and Director of Financial Aid). When final approval is received, the Assistant Athletics Director for Compliance creates the appropriate

paperwork. The paperwork is scanned and emailed to the PSA or SA via the ucsdletterofintent.edu email address.

Additional Steps for NLI: Once a signed NLI is received from the PSA, it is scanned and emailed to the CCAA. Once we have confirmation from the CCAA that the NLI is valid, the Assistant A.D. for Compliance notifies the Head Coach, Supervisor and Athletics Communications staff.

11.2.3 Initial Offers of SAA to Current Student-Athletes:

Policy:

An initial offer of a SAA to a current student-athlete can only be made if the student-athlete meets the minimum 2.6 cumulative UC-GPA requirement at the time of the offer.

Procedure:

1. Head Coach (or appropriate Athletics Department official) submits a Letter of Intent Request via TritonShare;
2. The Sport Supervisor must approve of the award via the Letter of Intent Request
3. After the Request has received all necessary approvals, the Assistant Athletics Director for Compliance will prepare scholarship documents and procure necessary signatures, including the student-athletes.
4. The Assistant Athletics Director for Compliance will notify the Financial Aid Office of the award.
5. The Financial Aid Office will apply or adjust the student-athlete's Scholar-Athlete Award.

11.2.4 Changes to Scholar-Athlete Awards During the Period of the Award, including Cancellations, Increases or Reductions

Policy:

Cancellation/Reduction: A Head Coach recommending the Scholar-Athlete Award of a student-athlete be reduced or cancelled during the period of the award is responsible for discussing the reasons for the recommendation with the sport supervisor. Scholar-Athlete Awards can only be reduced or canceled during the period of the award for specific reasons outlined by NCAA rules (see p.3). The Head Coach will need to document the reasons for the reduction or cancellation of grant-in-aid. The reduction or cancellation may occur in conjunction with dismissal from the team. If the student-athlete voluntarily withdraws from the team, the financial aid office will inform the student-athlete of the reduction/cancellation of aid. The Sport Supervisor is responsible for contacting the student to request he/she sign a voluntary withdrawal form.

Increase: If a Head Coach is recommending a student-athlete's Scholar-Athlete Award be increased during the period of the award, it must be for non-athletically related reasons per NCAA Division II Bylaw 15.6.4.2.

Below are excerpts of the NCAA rules that govern when cancellations, increases, reductions, and initial awards of the Scholar-Athlete Award during the period of the award are permissible/impermissible:

15.6.3 Period of Award.

The period of award begins when the student-athlete receives any benefits as part of the student-athlete's grant-in-aid on the first day of classes for a particular academic term, or the first day of practice, whichever is earlier, until the conclusion of the period set forth in the financial aid agreement. An athletics grant-in-aid shall not be awarded in excess of one academic year.

15.6.4 Reduction or Cancellation Permitted.

Institutional financial aid based in any degree on athletics ability may be reduced or canceled during the period of the award, if the recipient:

- a) Renders himself or herself ineligible for intercollegiate competition;
- b) Fraudulently misrepresents any information on an application, letter of intent or financial aid agreement (see Bylaw 15.6.4.1.2);
- c) Engages in serious misconduct warranting substantial disciplinary penalty (see Bylaw 15.6.4.1.3); or
- d) Voluntarily withdraws from a sport at any time for personal reasons; however, the recipient's financial aid may not be awarded to another student-athlete in the term in which the aid was reduced or canceled. Further, if the financial aid is canceled before a regular academic term (e.g., preseason practice period), the aid may not be provided to another student-athlete during the ensuing academic term.

Bylaw 15.3.4.1.1 Nonathletically Related Conditions.

An institutional financial aid agreement may include nonathletically related conditions (e.g., compliance with academic policies or standards, compliance with team rule or policies) by which the aid may be reduced or cancelled during the period of the award.

NOTE: The 2.6 cumulative UC-GPA requirement is an annual requirement and is not a condition for cancellation or reduction during the period of the award. However, if a student's cumulative UC-GPA falls below 2.0, he/she has rendered himself/herself ineligible under UCSD and potentially CCAA and NCAA rules.

This is grounds for a potential reduction or cancellation of aid during the period of the award under NCAA rules.

NOTE: Adherence to the Student-Athlete Code of Conduct and team rule are non-athletically related conditions and grounds for reduction or cancellations of athletics aid.

15.6.4.1.2 Fraudulent Misrepresentation.

If a student-athlete is awarded institutional financial aid on the basis of declaring intention to participate in a particular sport by signing a letter of intent, application or tender, action on the part of the grantee not to participate (either by not reporting for practice or after making only token appearances as determined by the institution) would constitute fraudulent misrepresentation of information on the grantee's application, letter of intent or financial aid agreement and would permit the institution to cancel or reduce the financial aid.

15.6.4.1.3 Misconduct.

An institution may cancel or reduce the financial aid of a student-athlete who is found to have engaged in misconduct by the university's regular student disciplinary authority, even if the loss-of-aid requirement does not apply to the student body in general.

15.6.4.2 Increase Permitted.

Institutional financial aid may be increased for any reason prior to the commencement of the period of the award. Once the period of the award begins, institutional financial aid may only be increased if the institution can demonstrate that such an increase is unrelated in any manner to an athletics reason (see Bylaw 15.3.4.3). (Adopted: 1/11/94, Revised: 1/12/04)

15.6.4.2.1 Initial Award of Athletically Related Institutional Financial Aid.

A student-athlete who is receiving only institutional nonathletically related financial aid may receive an initial award of athletically related institutional financial aid at any point during the academic year. The initial award of athletically related financial aid may not be retroactive to a previous academic year.

15.6.4.3 Increase, Reduction or Cancellation Not Permitted.

Institutional financial aid based in any degree on athletics ability may not be increased, decreased or canceled during the period of its award:

- a) On the basis of a student's athletics ability, performance or contribution to a team's success;

- b) Because of an injury, illness or physical or mental medical condition (except as permitted pursuant to Bylaw 15.6.4.1); or
- c) For any other athletics reason.

15.6.4.3.3 Decrease Not Permitted.

An institution may not decrease a prospective student-athlete's financial aid or a student-athlete's financial aid from the time the prospective student-athlete or student-athlete signs the financial aid award letter until the conclusion of the period set forth in the financial aid agreement, except under the conditions set forth in Bylaw 15.3.4.1.

Procedure:

If Requesting Reduction or Cancellation of SAA:

1. Head Coach submits a Change of Roster/Change of Aid Workflow, along with a signed Voluntary Withdrawal form (if applicable)
2. Supervisor must approve of the reduction/cancellation via the Change of Roster/Change of Aid Workflow
3. The Assistant Athletics Director for Compliance verifies the cancellation or reduction is permissible under NCAA rules & that the Athletics Department has sufficient supporting documentation. Consults with the Sport Supervisor & Head Coach as necessary.
4. Assistant Athletics Director for Compliance notifies the Financial Aid Office of the request to reduce or cancel the student-athlete's Scholar-Athlete Award.
5. Financial Aid sends an email to the student-athlete's UCSD email address notifying the student-athlete of the cancellation or reduction and the opportunity for a hearing. Per NCAA Bylaw 15.6.2.4, the notification will include a copy of Policies & Procedures Manual section **11.2.2 – Appeals for Reduction, Cancellation or Non-renewal of Scholar Athlete Awards** and the deadline by which the student-athlete must request the appeal.

If Requesting an Increase of SAA:

1. Head Coach (or appropriate Athletics Department official) submits a Letter of Intent Request via TritonShare outlining the amount and reason for the initial award or increase, whichever applicable.

2. The Sport Supervisor must approve of the new award or increase via the Letter of Intent Request process.
3. The Assistant Athletics Director for Compliance will verify this is either a permissible initial award per 15.6.4.2.1, OR a permissible increase per 15.6.4.2. He/she will verify that the Athletics Department has sufficient supporting documentation. Consults with the Sport Supervisor & Head Coach as necessary.
4. The Assistant Athletics Director for Compliance will prepare initial or updated scholarship documents and procure necessary signatures, including the student-athletes.
5. The Assistant Athletics Director for Compliance will notify the Financial Aid Office of the award.
6. The Financial Aid Office will apply or adjust the student-athlete's Scholar-Athlete Award.

11.2.5 Annual Renewals, Reductions & Non-Renewals of SAA

Policy

The annual renewal, reduction, or non-renewal of a student-athlete's SAA must be made, per NCAA rule, on or before July 1 prior to the academic year in which it is to be effective. Each student-athlete with eligibility remaining and who received a SAA in the previous year must be notified in writing whether his/her SAA has been renewed, reduced, or non-renewed for the ensuing academic year. This notification must come from the Financial Aid Office and not the Athletics Department (NCAA Bylaw 15.6.5.1).

Procedure:

1. The Compliance Office provides each head coach a copy of his/her squad list and a Renewal Spreadsheet by May 1. The spreadsheet lists all of the student-athletes and his/her current scholarship amount.
2. The Head Coach completes the Renewal Spreadsheet by May 15.
3. The Sport Supervisor reviews and approves the Renewal Spreadsheet by May 30.
4. The Director of Financial Aid & Faculty Athletics Representative review and approve the Renewal Spreadsheet by June 15.

5. The Assistant A.D. for Compliance provides the Financial Aid Office a list of all student-athletes who received SAA and their renewal status and amounts if applicable. The list will include the student-athletes PIDs and UCSD email addresses.
6. On or before July 1, the Financial Aid Office sends an email to each student-athlete's UCSD email address notifying them of their renewal status and amount (if applicable). If a student-athlete's SAA is not renewed or reduced for the ensuing academic year, the notification will include a copy of Policies & Procedures Manual section **11.2.2 – Appeals for Reduction, Cancellation or Non-renewal of Scholars Athlete Award** and the deadline by which the student-athlete must request the hearing.
7. Student-athletes will accept their SAA electronically via their TritonLink accounts.

11.2.6 Appeals for Reduction, Cancellation or Non-Renewals of Scholar-Athlete Award

Policy:

Under NCAA rules, the University is required to notify a student-athlete of the opportunity for an appeal anytime his/her SAA is permissibly cancelled or reduced during the period of the award, OR if the SAA is being reduced or not renewed for the following academic year. The notification will be sent electronically to the student's official University email address from the Director of Financial Aid (or his/her designee). The notification will include a copy of the policies and procedures for appeals included in Section 11.2.6.

The appeal will be reviewed by the regular Financial Aid Satisfactory Academic Progress Appeals Committee, but will also include the NCAA Faculty Athletics Representative (or his/her designee).

Per NCAA rules, the hearing will be conducted within 30 consecutive calendar days of receiving the student's written request for a hearing.

Annually, the Athletic Department will provide the Financial Aid Office with an update on NCAA Bylaw 15 rules regarding institutional athletic aid as well as Athletic Department policies and procedures for Scholar-Athlete Awards.

Procedure:

1. Notification to Student:

The student whose aid is being reduced, cancelled, or not renewed will be notified via electronic mail sent by the Director of Financial Aid (or his/her designee). This notice will include a copy of Athletics Department Policies & Procedures section 11.2.6.

2. Student Requests Appeal:

The student has 10 business days from the date of the email notification of reduction/non-renewal/cancellation to file a written request for an appeal hearing with the Financial Aid Office designee by submitting an Appeal Hearing Request Form. The Appeal Hearing Request Form requires the student to include the following:

- The reason for believing the decision for reduction, cancellation, or non-renewal did not follow NCAA, Conference, or Athletics Department polices;
- The names of institutional staff members (e.g. coach, sport supervisor) with whom the student has discussed the issue; and
- Copies of relevant documents (e.g. athletic scholarship contract, team rule, etc.)

3. *Schedule Appeal:*

The Director of Financial Aid (or his/her designee) shall set a date for a hearing, no later than 30 calendar days after the Appeals Hearing Request Form from the student-athlete is received by the Director of Financial Aid (or his/her designee).

4. *Notify Athletics Department:*

Once the Director of Financial Aid (or his/her designee) receives an Appeal Hearing Request Form from a student-athlete, the Athletics Department Compliance Office shall be provided with a copy of the student's request.

5. *Scope of Appeal:*

The scope of the appeal shall be limited to the following issues: Were appropriate NCAA, Conference, and Department polices followed in the cancellation/reduction/non-renewal of the Scholar-Athlete Award?

6. *Appeal Hearing:*

- The student, head coach, sport supervisor, and Associate Athletic Director for Compliance will be consulted and notified of the date, time, and place of the hearing.
- The student and Department of Athletics must present any supportive written material to the Director of Financial Aid or his/her designee no later than 10 business days before the scheduled hearing.

- The Director of Financial Aid (or his/her designee) will provide both parties with the other party's submissions at least 5 business days before the hearing.
- Participants at the hearing are the student, the Athletics Department representative(s) (usually the head coach & sport supervisor), and the Appeals Committee. The student may elect to bring a representative to the hearing. The Director of Financial Aid or his/her designee must be informed at least five business days prior to the hearing if the student will be bringing a representative, and if the student's representative has legal training. If there is a legal representative for the student, the Athletics Department or another University department will provide a legal representative for the Athletics Department staff member.
- The Committee may request additional information at its discretion
- The Committee will make its decision on the basis of the information originally presented and additional requested information that was provided, if any.
- Appeal hearings may be audio-recorded. If requested, the Committee will provide the audio-file for the student and the Athletics Department.

7. *Notification of Decision:*

The Appeals Committee shall reach a decision and notify both parties in writing within 10 business days of the date of the appeals hearing. One of two actions will be taken:

- If the Appeals Committee finds that the decision to cancel, reduce, or not renew aid was in accordance with NCAA, Conference, and Athletics Department policies, the appeal will be denied.
- If the Appeals Committee finds that the decision to cancel, reduce or not renew aid was not in accordance with NCAA, Conference, and Athletics Department policies, the appeal will be approved.
 - The financial aid shall be made available to the student as soon as possible and at the same level as before the reduction, cancellation or non-renewal notice.

Action taken by the Appeals Committee has no effect on the student's status as a member of the relevant athletic team. Reinstatement of financial aid after a successful appeal does not require the coach to allow the student to participate on the team.

11.2.7 Academic Requirements & Academic Appeals for Scholar-Athlete Awards:

Policy:

In order to maintain institutional aid, including a SAA, student-athletes must meet all Satisfactory Academic Progress Standards as outlined in the UC San Diego Financial Aid Office Undergraduate Satisfactory Academic Progress (SAP) Standards for Financial Aid Eligibility which includes a higher cumulative GPA requirement than other institutional financial aid.

Per the SAP Standards, a SAA requires a minimum cumulative UC-GPA of 2.6. Although a student-athlete's UC-GPA may have met the SAP standards of 2.6 at the end of the academic year, if his/her cumulative UC-GPA falls below 2.6 at the end of summer session, his/her financial aid eligibility status for the following year will be affected.

Any student-athlete on a SAA who fails to meet SAP, including the 2.6 cumulative UC-GPA requirement at the end of the academic year, may submit an appeal to the Financial Aid Office. All SAP appeals are handled by the Financial Aid Office.

Any student-athlete on a SAA whose cumulative UC-GPA falls below 2.6 during the academic year, will be required by the Athletics Department to meet with the Academic Advisor in his/her College who is the Athletics Liaison until his/her GPA returns to 2.6 or above.

Procedures:

Quarterly Review:

At the end of each quarter, the Assistant Athletics Director for Compliance/Student-Athlete Development will run a report to identify any student-athlete on a SAA whose cumulative UC-GPA fell below 2.6. The student-athlete & his/her head coach will be notified.

The student-athlete must meet with the Associate Athletics Director for Compliance. He/she will be provided a "Meeting Verification Form" and must meet with the Academic Advisor in his/her College who is the Athletics Liaison within the first 4 weeks of the quarter. The student-athlete must have the Advisor sign the verification form and provide it to his/her head coach. The Head Coach signs the form and returns it to the Assistant Athletics Director for Compliance & Student-Athlete Development.

Annual Review

The Athletics Department will run a report after spring grades to identify and notify any student-athlete receiving a SAA that does not meet the 2.6 UC-GPA requirement. An additional report will be run after summer session 3.

The Financial Aid Office will review the cumulative UC-GPA, which includes UC summer session grades, & units earned prior to the start of the following fall quarter, of all students on institutional aid, including a SAA. Those students who do not meet the SAP standards will be officially notified by the Financial Aid Office.

Appeals

A student-athlete who is receiving the SAA and who does not meet minimum SAP standards, including not meeting the cumulative 2.6 UC-GPA requirement, may submit an SAP appeal to the Financial Aid Office prior to the start of fall quarter in order to maintain the SAA and any other institutional aid if not meeting additional SAP requirements.

In order to obtain a SAP appeal form, the student-athlete must meet with the Associate Athletics Director for Compliance & Student Services. The SAP appeal form must be completed and submitted to the Financial Aid Office. The Associate Athletics Director will provide the student-athlete with the appeal form and a cover letter with instructions for filing the appeal with Financial Aid.

Student-Athletes who must appeal for their SAA must meet with his/her college advisor at least quarterly to address academic progress. At the end of each quarter for the next academic year, the student-athlete must obtain a "Meeting Verification Form" from the Associate Athletics Director for Compliance & Student Services. He/she then must meet with the Academic Advisor in his/her College who is the Athletics Liaison within the first 4 weeks of the quarter. The student-athlete must have the Advisor sign the verification form and provide it to his/her head coach.

The Head Coach signs the form and returns it to the Associate Athletics Director for Compliance & Student Services.

11.2.8 Outside Scholarships

Policy:

NCAA rules regulate the types of outside awards student-athletes receive. The Athletics Department is responsible for monitoring outside awards received by student-athletes to ensure that the awards are (a) permissible; and (b) determine whether the aid is countable.

Procedure:

1. Compliance Office sends all student-athletes Outside Financial Aid Reporting Form annually as part of the compliance forms packet.
2. Student-athletes provide information on the form for all outside scholarships received in the current year.
3. Compliance Office contacts awarding agencies and requests agency complete an Outside Financial Aid Education and Monitoring Form.
4. Compliance Office cross-checks reported aid with information on file with the Financial Aid Office to ensure all outside awards are accounted for accurately. Financial Aid will load a total dollar amount of known outside scholarship aid into CA as OAAEST.
 - a. Cross-check requires the Compliance Office to compare what student-athletes self-reported to Athletics Department against what is in CA and what is in SBS Checks to ensure all awards accounted for.
 - Assess SBS Checks at: <https://crickportal.ucsd.edu/iDocs/SBSChecks>
 - a. Sign in using Single Sign-On
 - b. Enter SA PID to review all documents associated with OAAEST checks
5. Compliance Office determines whether awards are (a) permissible and (b) countable
6. Financial Aid Office reviews awards and uploads the awards to Compliance Assistant

11.2.9 Scholar-Athlete & Financial Aid Forms

- Scholar-Athlete Award Agreement
- Request for Appeal Hearing
- Annual Renewal Notification
- Annual Renewal with Reduction Notification
- Annual Non-Renewal Notification
- During Period of Award Cancellation Notification
- During Period of Award Decrease Notification
- Athletics Cover Letter for SAP Appeals
- Advising Meeting Verification Form
- Outside Financial Aid Education and Monitoring Form

University of California, San Diego Scholar-Athlete Award Agreement



Student-Athlete Information

Name:

PID: (if current UCSD student)

Sport:

Scholar-Athlete Award Information

Period of Award: Year of Award:

Total Award: \$ Fall: \$ Winter: \$ Spring: \$

(If the student-athlete is signing a National Letter of Intent, athletics aid *must* be given in each quarter)

Head Coach: _____ Date: _____

Director of Athletics: _____ Date: _____

Faculty Athletics Representative: _____ Date: _____

Director of Financial Aid: _____ Date: _____

This award is contingent upon you being admitted to the University of California, San Diego and enrolled as a full-time student for the period of award listed above.

Additionally, all eligibility requirements for athletics participation and financial aid established by the NCAA, conference affiliations and the University of California, San Diego must be met for this award to be valid.

This agreement is invalid if not signed within 7 days of the date issued above. During the early signing period of the National Letter of Intent, this agreement can only be signed during the designated 7 day signing period.

Receipt of the Scholar-Athlete Award in subsequent years is contingent on athletics department approval and meeting the following University requirement:

- After the first year of full-time residence at UCSD, a student-athlete must maintain a minimum cumulative UC-GPA of 2.6 to remain eligible for the Scholar-Athlete Award. GPAs will not be rounded up.

NCAA regulations restrict the total amount of institutional financial aid a student-athlete can receive. It is your responsibility to notify the Financial Aid Office and the Athletics Department if you receive financial aid from anyone other than your parents or legal guardians (i.e. Federal grants or some other scholarship/financial aid). **Failure to notify can render you ineligible under NCAA rules for intercollegiate participation. Your institutional aid may be reduced if your total aid exceeds NCAA limitations.**

You are encouraged to apply for other financial aid by completing the Free Application for Federal Student Aid (FAFSA).

Your Scholar-Athlete Award will not be increased, reduced or cancelled during the term of this award on the basis of your athletics ability, performance or contribution to your team's success, because of an injury, illness or physical or mental medical condition, or for any other athletics reason.

This award *may* be reduced or cancelled during the term of the award if any of the following occur:

- You render yourself ineligible for competition, including dropping below full-time status
- You fraudulently misrepresent any information on an application, letter of intent or financial aid agreement form
- You engage in serious misconduct warranting substantial disciplinary action
- You voluntarily withdraw from your sport at any time for personal reasons
- You fail to meet UC San Diego Athletics Department Student-Athlete policies (i.e. Student-Athlete Code of Conduct) or specific team rules

This award *must* be reduced or cancelled if any of the following occur:

- You sign a professional sports contract
- You agree to be represented by or receive benefits from an agent
- You accept compensation from an agent or a professional sports organization
- You receive other aid that causes you to exceed individual aid limits**

Note: A student-athlete who signs a professional sports contract, accepts money for playing in an athletics contest, or agrees to be represented by an agent, jeopardizes his or her eligibility under NCAA amateurism regulations.

If your award is reduced or canceled during the period of the award you will be notified in writing and given the opportunity to request a hearing before an appeals committee.

Renewal of the Scholar-Athlete Award is not automatic in subsequent years. The renewal or non-renewal of an award shall be made on or before July 1 prior to the academic year in which it is to be effective. The Scholar-Athlete Award is subject to non-renewal if you do not meet academic or athletic requirements, including but not limited to NCAA or UC San Diego grade point average requirements, NCAA progress-towards-degree requirements, or Athletic Department student-athlete policies or specific team rules. If the institution decides to not renew or decides to reduce the award for the ensuing period, the institution shall inform you in writing that you will be provided the opportunity to request a hearing before an appeals committee.

By signing below, you indicate your acceptance of the Scholar-Athlete Award offered above and agree to adhere to the terms and conditions as outlined in this agreement.

Student-Athlete (Print): _____

Student-Athlete (Signature): _____ Date: _____

Parent/Guardian (if a minor): _____ Date: _____

**Request for Appeal Hearing Form
For Use When Scholar-Athlete Award is Reduced, Cancelled or Not Renewed**

In accordance with National Collegiate Athletic Association (NCAA) regulations, a student whose athletically-related financial aid is reduced or cancelled during the period of the award, or is not renewed for the next academic year is entitled to an appeal.

If you wish to request an appeal hearing, please read over the University-approved appeals policies & procedures (included below) and submit your request. You must submit this form and the additional required information to the Financial Aid Office no later than **10 business days** from receipt of your notification of the reduction, cancellation or non-renewal.

Appeal Hearing Request Form

NOTE: Receipt of the notification is the date the Financial Aid Office sent the notification email, not the date you read the notification. Appeals received after the deadline will not be reviewed, and your right to appeal will be forfeited.

Confirmation of receipt of your appeal will be sent to your UCSD email address within **5 business days** of receipt of the request. If you do not receive an email confirmation within **5 business days** of submission, contact Michele Ojeda (mlojeda@ucsd.edu) in the Financial Aid Office.

NOTE: All appeals are conducted in-person and per NCAA rules will be conducted within 30 calendar days of receipt of your request.

Basic Information:

Print Student Athlete Name (Last, First): _____

UCSD PID#: _____

Local Phone Number: () _____ UCSD Email: _____@ucsd.edu

Year in school for 2016-17: _____ Sport: _____

Amount of Scholar-Athlete Award: \$ _____

Please Submit the Following Information With this Request Form:

- Reasons for believing the decision for cancellation, reduction or non-renewal did not follow NCAA, Conference, or Athletics Department policies;
- The names of institutional staff members (e.g. coach, sport supervisor) with whom the student has discussed the aid; and
- Copies of relevant documents (e.g. athletic scholarship contract)

Send this Request Form and supporting documentation electronically to:
Michele Ojeda, Scholarship Special Programs Coordinator (mlojeda@ucsd.edu)

Annual Renewal Information:

"Dear _____,

*The UC San Diego Athletics Department has requested that your Scholar-Athlete Award be **renewed** for the 20___– 20___academic year in the amount of \$_____.*

NCAA rules require that the University's Financial Aid Office annually notify student-athletes currently receiving a Scholar-Athlete Award of their renewal status on or before July 1. This notification is intended to fulfill that requirement.

Michele Ojeda
Scholarship Special Programs Coordinator
University of California San Diego
(858) 822-1028

Annual Renewal with Reduction Notification

"Dear _____,

The UC San Diego Athletics Department has requested that your Scholar-Athlete Award be **renewed** for the 20__ - 20__ academic year, but in the amount of \$___. This represents a **reduction** in your award.

NCAA rules require that the University's Financial Aid Office annually notify student-athletes currently receiving a Scholar-Athlete Award of their renewal status on or before July 1. This notification is intended to fulfill that requirement.

If you feel that this reduction is not being done in adherence to NCAA, Conference, or Athletics Department policies, you have the right to an appeal as provided by NCAA regulations. Attached are the University-approved appeals policies and procedures, which include excerpts of applicable NCAA rules. If after review of these policies and procedures you would like to request an appeal, complete the attached Request for Appeal Hearing Form

Directions & deadlines for submitting your appeal are included on the Request for Appeal Hearing form. Please note that requests must be submitted within 10 business days of the date of this notification.

Michele Ojeda

Scholarship Special Programs Coordinator

University of California, San Diego

858-822-1028

If you have any questions regarding the information contained in this letter or about the appeals process, please contact Michele Ojeda at 858-822-1028.

Annual Non-Renewal Notification

"Dear _____,

The UC San Diego Athletics Department has requested that your Scholar-Athlete Award **not be renewed** for the **20 - 20** academic year. As such your Scholar-Athlete Award is **not being renewed** at this time.

NCAA rules require that the University's Financial Aid Office annually notify student-athletes currently receiving a Scholar-Athlete Award of their renewal status on or before July 1. This notification is intended to fulfill that requirement.

If you feel the non-renewal of your Scholar-Athlete Award is not in adherence to NCAA, Conference, or Athletics Department policies, you have the right to an appeal as provided by NCAA regulations. Attached are the University-approved appeals policies and procedures, which include excerpts of applicable NCAA rules. If after review of these policies and procedures you would like to request an appeal, complete the attached Request for Appeal Hearing Form

Directions & deadlines for submitting your appeal are included on the Request for Appeal Hearing form. Please note that requests must be submitted within 10 business days of the date of this notification.

Michele Ojeda

Scholarship Special Programs Coordinator

University of California, San Diego

858-822-1028

If you have any questions regarding the information contained in this letter or about the appeals process, please contact Michele Ojeda at 858-822-1028.

During Period of Award Cancellation Notification

"Dear _____,

*The UC San Diego Athletics Department has requested that your Scholar-Athlete Award be **cancelled** for the 20__ - 20__ academic year.*

NCAA rules require that the University's Financial Aid Office notify student-athletes currently receiving Scholar-Athlete Award of any change to their award. This notification is intended to fulfill that requirement.

If you feel that this cancellation is not being done in adherence to NCAA, Conference, or Athletics Department policies, you have the right to an appeal as provided by NCAA regulations. Attached are the University-approved appeals policies and procedures, which include excerpts of applicable NCAA rules. If after review of these policies and procedures you would like to request an appeal, complete the attached Request for Appeal Hearing Form

Directions & deadlines for submitting your appeal are included on the Request for Appeal Hearing form. Please note that requests must be submitted within 10 business days of the date of this notification.

Michele Ojeda

Scholarship Special Programs Coordinator

University of California, San Diego

858-822-1028

If you have any questions regarding the information contained in this letter or about the appeals process, please contact Michele Ojeda at 858-822-1028

During Period of Award Decrease Notification

"Dear _____,

*The UC San Diego Athletics Department has requested that your Scholar-Athlete Award be **reduced** from \$____ to \$____ for the 20 - 20 academic year.*

NCAA rules require that the University's Financial Aid Office notify student-athletes currently receiving Scholar-Athlete Award of any change to their award. This notification is intended to fulfill that requirement.

If you feel that this reduction is not being done in adherence to NCAA, Conference, or Athletics Department policies, you have the right to an appeal as provided by NCAA regulations. Attached are the University-approved appeals policies and procedures, which include excerpts of applicable NCAA rules. If after review of these policies and procedures you would like to request an appeal, complete the attached Request for Appeal Hearing Form

Directions & deadlines for submitting your appeal are included on the Request for Appeal Hearing form. Please note that requests must be submitted within 10 business days of the date of this notification.

Michele Ojeda

Scholarship Special Programs Coordinator

University of California, San Diego

858-822-1028

If you have any questions regarding the information contained in this letter or about the appeals process, please contact Michele Ojeda at 858-822-1028



INTERCOLLEGIATE ATHLETICS
A DIVISION OF STUDENT AFFAIRS
TEL: (858) 534-4211
FAX: (858) 534-8172

9500 GILMAN DRIVE # 0531
LA JOLLA, CALIFORNIA 92093-0531
EMAIL: ewedwards@ucsd.edu
WEB: <http://www.ucsdtritons.com>

To: College & Department Academic Advisors

From: Katie McGann, Associate Athletics Director – Compliance & Student Services Student-

Athlete Appeals for Reinstatement of Scholar-Athlete Award

Policy: At UC San Diego, student-athletes must maintain a cumulative 2.6 UC-GPA in order to receive a Scholar-Athlete Award. If a student-athlete does not have a 2.6 cumulative UC-GPA at the end of the academic year, he/she must go through the Undergraduate Satisfactory Academic Progress appeal process as defined by the Financial Aid Office for Scholar-Athlete Awards.

This includes completing an academic recovery plan signed by both the student's major & college advisor.

Issue: Some student-athletes who go through the SAP appeal process may have a cumulative UC-GPA between 2.0-2.59 and would not normally have to file a financial aid appeal for other institutional aid. However, they will be required to do so if they are receiving a Scholar-Athlete Award. As such, you may be working with a student-athlete who must create an academic recovery plan specific to improving his/her cumulative UC-GPA to the 2.6 level by the end of the next academic year. This is not a quarterly contract; the provisions of the Scholar-Athlete Grant require a cumulative 2.6 by the end of the spring quarter.

Request: Attached you will find an appeal from a student-athlete who is receiving a Scholar- Athlete Award and is below the 2.6 cumulative UC-GPA requirement. He/she is filing a SAP appeal with the Financial Aid Office. Below is the process required of a student-athlete who must complete a SAP appeal for his/her Scholar-Athlete Award:

- Meet with Athletics Department*
- Obtain SAP appeal forms from Financial Aid Office
- Meet with College Academic Advising Athletics Liaison*
- Complete SAP appeal – including obtaining signatures from Department & College Advisor
- Meet with College Academic Advising Athletics Liaison on quarterly basis & complete an "Advising Meeting Verification" form*

**Designates requirement that is separate from the SAP appeals process and is required by the Athletics Department.*

In working with this student to create his/her academic plan, we ask that you please consult with Katie McGann, Associate Athletics Director for Compliance & Student Services (kmcgann@ucsd.edu; 858-534-8700) for any questions.

Questions, Comments, Concerns: If you have any questions, comments, or concerns regarding the appeals process for Scholar-Athlete Awards in general or specific to this student, please contact Katie McGann, Associate Athletics Director for Compliance & Student Services (kmcgann@ucsd.edu; 858-534-8700).



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EMAIL: ewedwards@ucsd.edu
WEB: <http://www.ucsdtritons.com>

Advising Meeting Verification for Scholar-Athlete Awardees

Student-Athlete Name: _____

PID: _____

College: _____

Athletics Department Advising Liaison: _____

The above student-athlete is a Scholar-Athlete Award recipient and is required to earn a 2.6 cumulative UC-GPA prior to the next academic year. The student-athlete's cumulative GPA has fallen below a cumulative 2.6 and as such the Athletics Department requires the student to meet with the College Academic Advisor who is the designated Athletics Department liaison by the end of the 4th week of classes. The student-athlete will provide this form to his/her Head Coach to confirm they have fulfilled their meeting requirement. This form and the required meeting are not part of a Financial Aid SAP appeal; instead it is a requirement by the Athletics Department. If you have any questions, comments or concerns, please contact Associate Athletics Director, Katie McGann (kmcgann@ucsd.edu or 858-534-8700).

Date: _____

Advisor Name (please print): _____

Phone: _____

Advisor Signature: _____

Comments:

Head Coach Signature: _____



UCSD Outside Financial Aid Education and Monitoring Form



NCAA Bylaw 15: NCAA regulations require that student-athletes report all sources of financial assistance other than that contained in an institutionally-approved financial aid package or that which is provided by parent(s) or legal guardian(s). Examples of outside financial aid include high school booster club scholarships, church scholarships, local civic club scholarships, etc.

**ADVANCING
INTEGRITY**

PART 1 –STUDENT-ATHLETE INFORMATION

Name	Team	Student ID Number	Date
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PART 2 – TO BE COMPLETED BY THE AWARDING AGENCY

The above named student athlete has been offered a scholarship from your organization. In order for **UC San Diego** to comply with NCAA rules and regulations regarding outside financial aid to student athletes, your assistance in providing additional information is necessary. Please complete the following questions:

Awarding Agency/Organization: _____

Name of Award: _____

Award Amount: _____ **Per Quarter/Year (circle one)**

Duration of the Scholarship/Award: _____
(How many years/quarters? Specify years/quarters applicable)

- Is the award based on athletics ability or participation? Yes No
- Is this a one-time or renewable award? One-time Renewable
If renewable, please explain: _____
- Is this a continuing scholarship **program**? Yes No
(I.e. has the scholarship been awarded previously or will it be awarded again in the future to anyone else?)
- When was this scholarship program established? Date: _____
- Is there a restriction on the student’s choice of collegiate institution? Yes No
If yes, please describe the restriction: _____
- Is the awarding individual, organization, or donor of the aid a representative of **UC San Diego**’s athletics interests (i.e. booster, season ticket holder, corporate sponsor, alumni)? Yes No
- Who selected the student for the award/scholarship? Check all that apply:
 Automatic Selection *(based on predetermined criteria)* Individual *(name of individual: _____)*
 Committee Other *(explain: _____)*
- Please provide a description the criteria for this scholarship. A blank application or attached list of criteria will also be accepted.



NAAC Outside Financial Aid Education and Monitoring Form (continued)



9. Award Check Distribution:

If a check has been made out **directly to the student**, the student or the organization must submit a copy of the check with this form.

If a check has **not been sent to the student**, it should be sent to:

UCSD Financial Aid Office
Student Services Center, 3rd Floor North
9500 Gilman Drive
La Jolla, CA 92093-0013

By signing below, I confirm the above named awarding agency is an established and continuing program providing financial assistance to students. I also confirm that this award was not created specifically to provide scholarship funding to the recipient of this award.

Printed name of person completing form

Title

Signature/Date

Email Address/Phone Number

Please return completed forms to:

gradcomplianceintern@ucsd.edu

Subject Line: Outside Aid Form - (Name)

Thank you for your assistance in this matter. Please respond as soon as possible.

If you have any questions, please feel free to contact *Abrina Wheatfall, Assistant Athletic Director: Compliance and Student-Athlete Development, awheatfall@ucsd.edu, (858) 822-7837*

PART 3: TO BE COMPLETED BY THE INSTITUTION (for office use only)

This award is: Denied

Approved as: **No Relationship to Athletics Ability**

Established and Continuing Program

If denied, rationale: _____

In accordance with NCAA Bylaw 15, the Office of Financial Aid and Scholarships certifies this award should Count within Athletics limitations as specified:

Team

Individual

Financial Aid Authority: _____

Print Name

Signature

Date